

# Enabling the Processing of Sentinel-1 TOPS Data with the Open-Source DORIS Software

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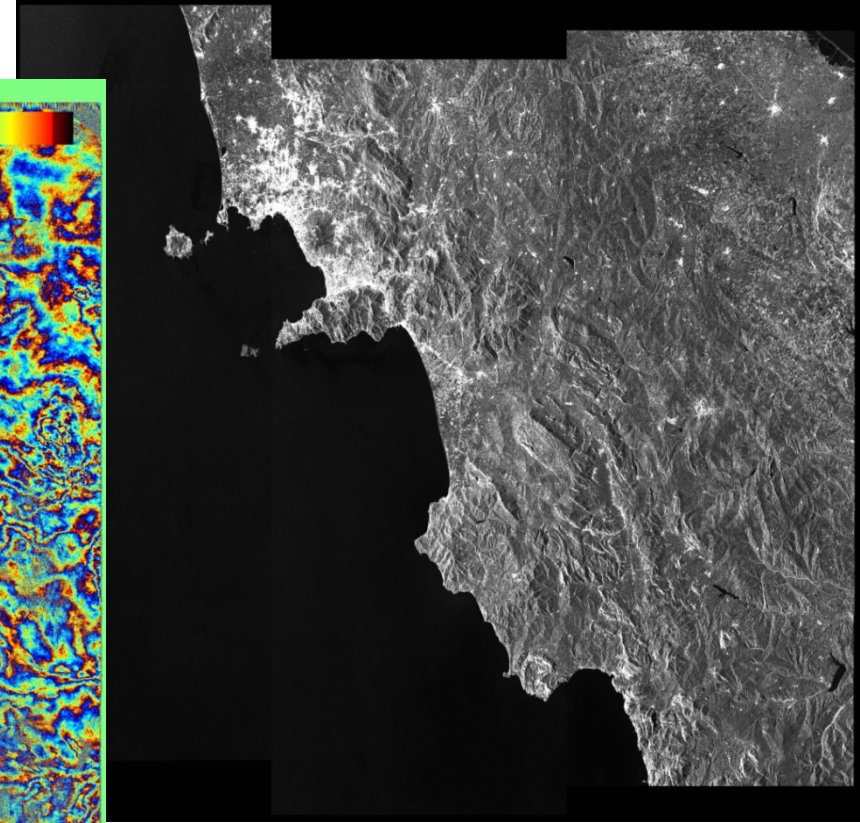
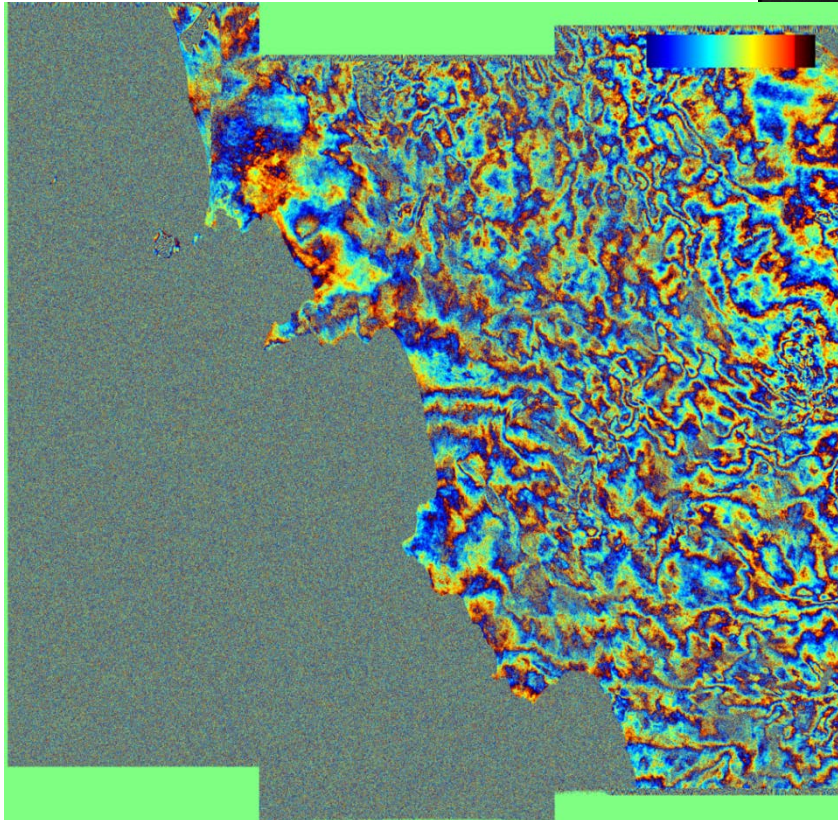
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# Sentinel-1 IW processing with DORIS: Naples



# DORIS open-source software

- Enabled interferometric applications in the last 15 years (ERS-1/2, Envisat, Radarsat-1/2, ALOS, TerraSAR-X, Cosmo-Skymed)
- Implemented in C++
- Based on a modular structure
- Designed for single master-slave combinations
- Various users created a custom-made shell for stack processing (in-house or open-source, e.g., STAMPS, ADORE)

# DORIS for Sentinel-1

Development in 3 stages:

1. Design and prototyping of new processing chain – ~DONE
2. Testing and evaluation of processing settings – ONGOING
3. Final implementation – JUST STARTED

# DORIS for Sentinel-1

- Requires an integration module around the DORIS core to merge the different bursts/sub-swaths
- DORIS core for processing on burst level

# DORIS for Sentinel-1 implementation

- Extension of the existing DORIS core to enable TOPS mode
  - C++
  - New modules (de-ramping spectrum, re-ramping spectrum, spectral diversity)
  - For processing on burst level
- Integration module around the DORIS core
  - Python, using GDAL libraries
  - Stack processing, merging of bursts/sub-swaths

# New processing flow

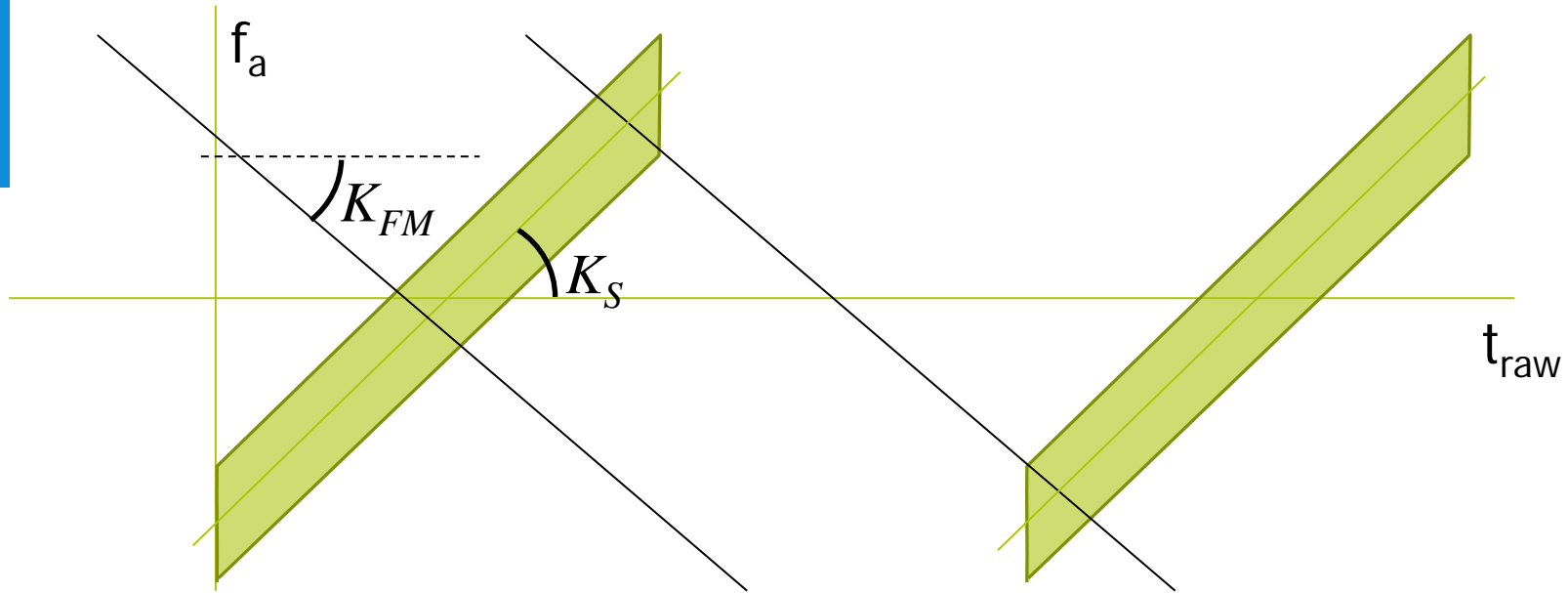
1. Reading of data
2. Deramping of spectrum
3. Coregistration
4. Resampling of slave
5. Reramping of spectrum
6. Computation of interferograms
7. Estimation of phase offset/azimuth shift on sub-swath/full-swath level
8. Phase correction per burst
9. Merging of bursts/sub-swaths

# Data Reader

- Python, based on GDAL library
- Extraction of valid pixels



# Deramping/Reramping: Azimuth FM



- Frequency modulation is the Doppler rate experienced by targets in azimuth raw times. Second order model with range:

$$K_{FM}(t_r) = c_2(t_r - t_r^{REF})^2 + c_1(t_r - t_r^{REF}) + c_0$$

Annotations for the equation above:

- $c_2$  → [generalAnnotation/AzimuthFmRate/t0](#)
- $c_1$  → [generalAnnotation/AzimuthFmRate/c0](#)
- $c_0$  → [generalAnnotation/AzimuthFmRate/c1](#)
- $t_r^{REF}$  → [generalAnnotation/AzimuthFmRate/c2](#)

- Different from effective rate  $K_{AZ}$  in the focused image. Conversion from raw time to focused time need to be performed

# Doppler centroid retrieval

- Doppler centroid model
  - $t_r$ : two-way range time
  - $t_a$ : azimuth focused time

$$f_{DC}(t_r, t_a) = \underbrace{f_{DC}^{REF}(t_r)} + \underbrace{K_{AZ}(t_r)} \underbrace{(t_a - t_a^{REF})}$$

$$f_{DC}^{REF}(t_r) = d_2(t_r - t_r^{REF})^2 + d_1(t_r - t_r^{REF}) + d_0$$

[dopplerCentroid/dcEstimate/AzimuthTime](#)

- Extract platform velocity  $v_s$  from orbit
- Convert steering rate  $K_{sr}$  in Hz/s

$$K_S = \frac{2v_s}{\lambda} \underbrace{K_{sr}} \frac{\pi}{180}$$

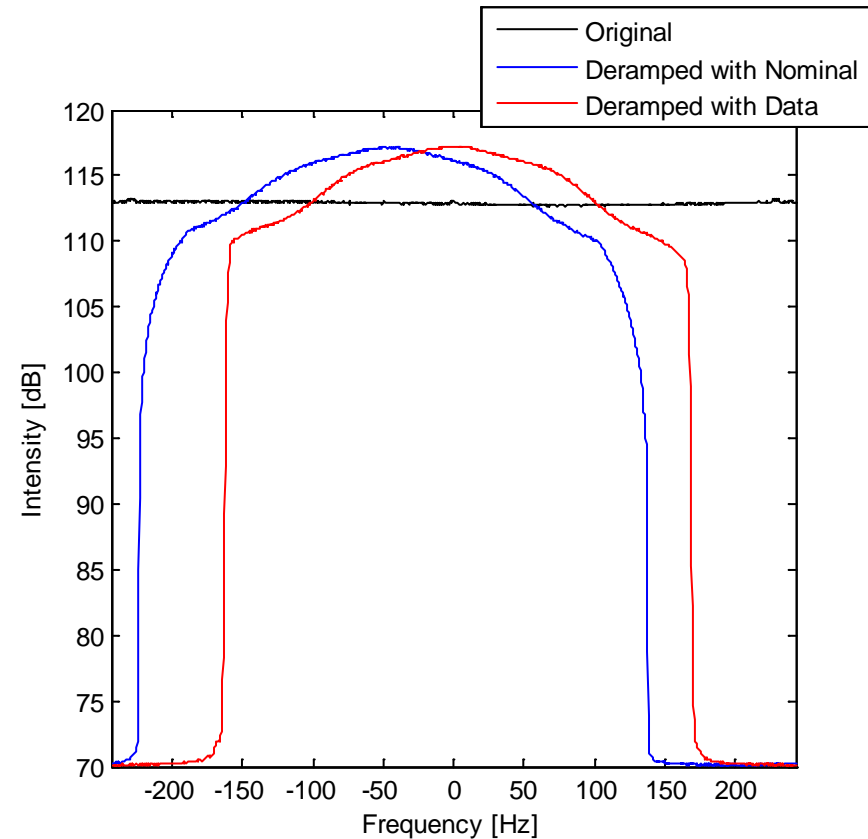
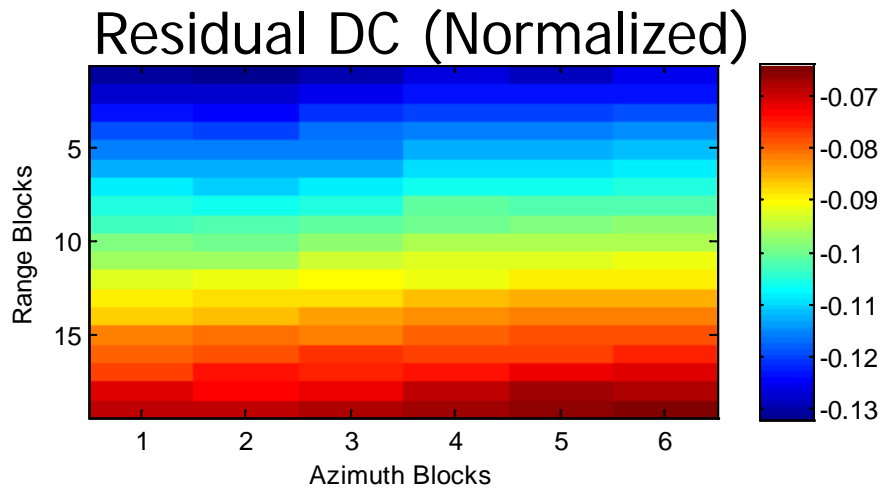
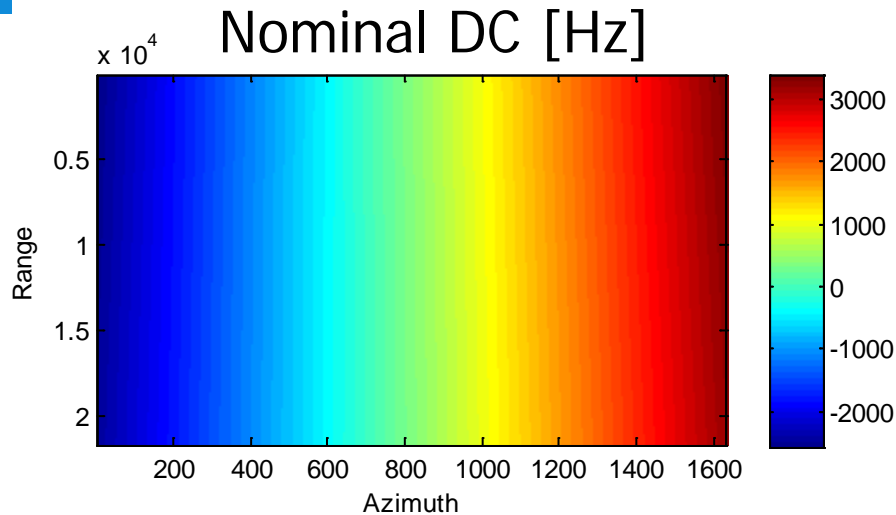
[.../productInformation/azimuthSteeringRate](#)

- Raw time -> Focused time

$$\alpha(t_r) = 1 - \frac{K_S}{K_{FM}(t_r)} \rightarrow K_{AZ}(t_r) = \frac{K_S}{\alpha(t_r)}$$

# Deramping

Results on Naples scene - Subswath 1, Burst 01



# Deramping

- Problem in  $f_{DC}^{REF}$  polynomial -> residual spectral shift to be compensated

Current approach:

- A residual polynomial is estimated from the data according to:

$$f_{DC}^{EST}(t_r) = (d_2 + \Delta d_2)(t_r - t_r^{REF})^2 + (d_1 + \Delta d_1)(t_r - t_r^{REF}) + (d_0 + \Delta d_0)$$

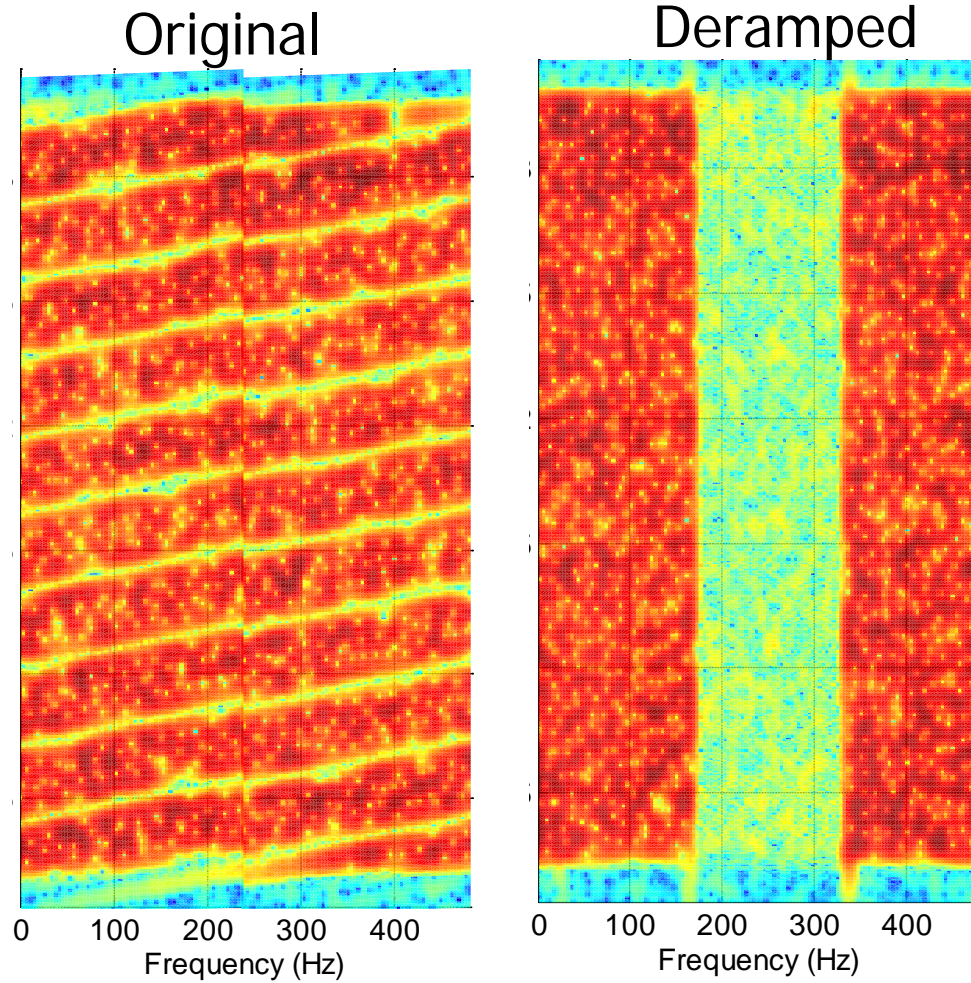
$$K_{AZ}^{EST}(t_r) = K_{AZ} + \Delta K_{AZ} \quad \text{NECESSARY (at least for early S1 images)}$$

OPTIONAL ->  $K_{AZ}$  from the annotation is accurate enough

- The deramping chirp is then computed as:

$$C(t_r, t_a) = \exp(j\pi(K_{AZ}^{EST}(t_r)(t_a - t_a^{REF}) + f_{DC}^{EST}(t_r))(t_a - t_a^{REF}))$$

# Deramping



# Reramping

- Multiplication by inverse chirp
- As resampling is performed on slave image as described by the range and azimuth pixel warping functions/DEM-based offsets:

$$t_a \rightarrow F_a(t_r, t_a)$$

$$t_r \rightarrow F_r(t_r, t_a)$$

the chirp needs to be resampled accordingly, i.e.

$$C(t_r, t_a) \rightarrow C(F_a(t_r, t_a), F_r(t_r, t_a))$$

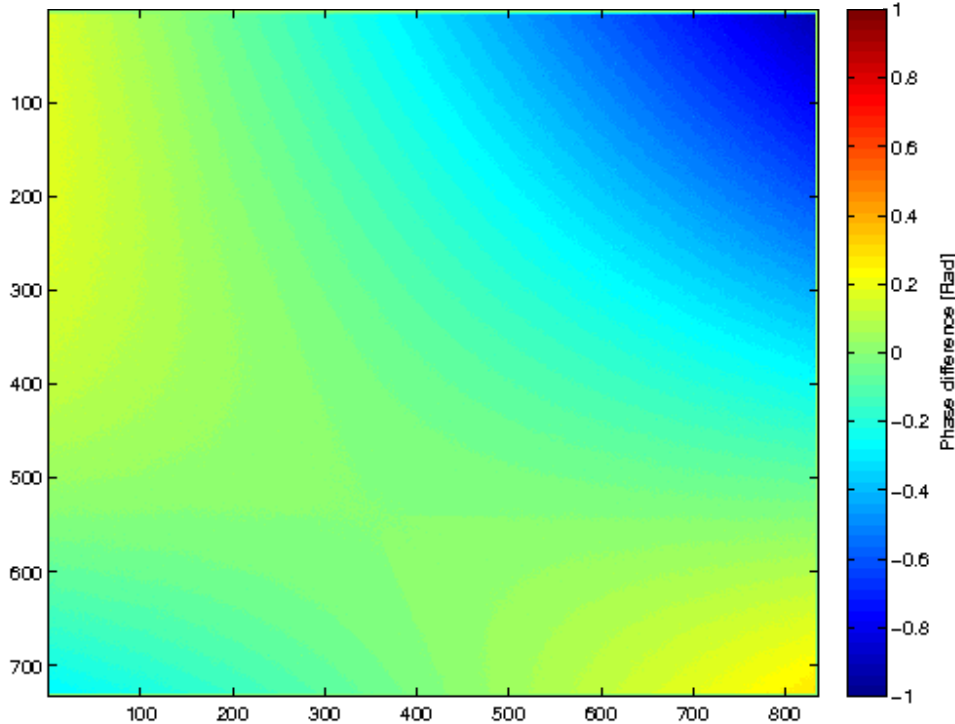
# Coregistration

Four methodologies implemented:

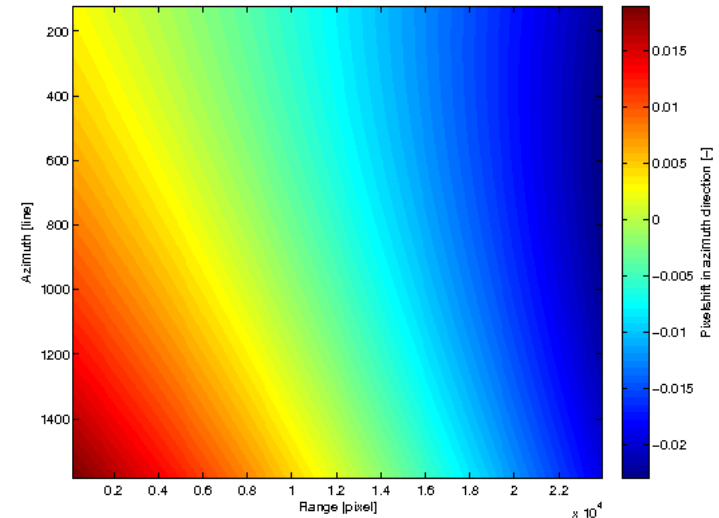
1. Incoherent Cross-Correlation (ICC)
2. Coherent Cross-Correlation (CCC)
3. DEM-based coregistration
4. Spectral Diversity (in combination with one of the other methodologies)

# Comparison of methodologies: burst level

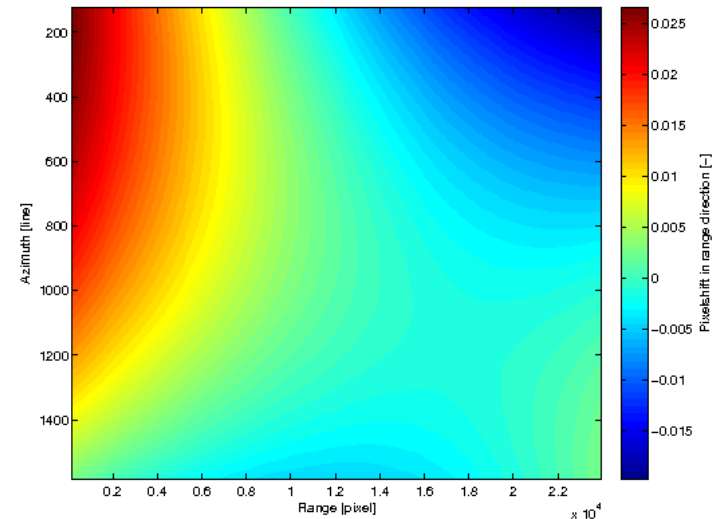
Difference ICC point scatterers – ICC random points



Pixel shift Azimuth



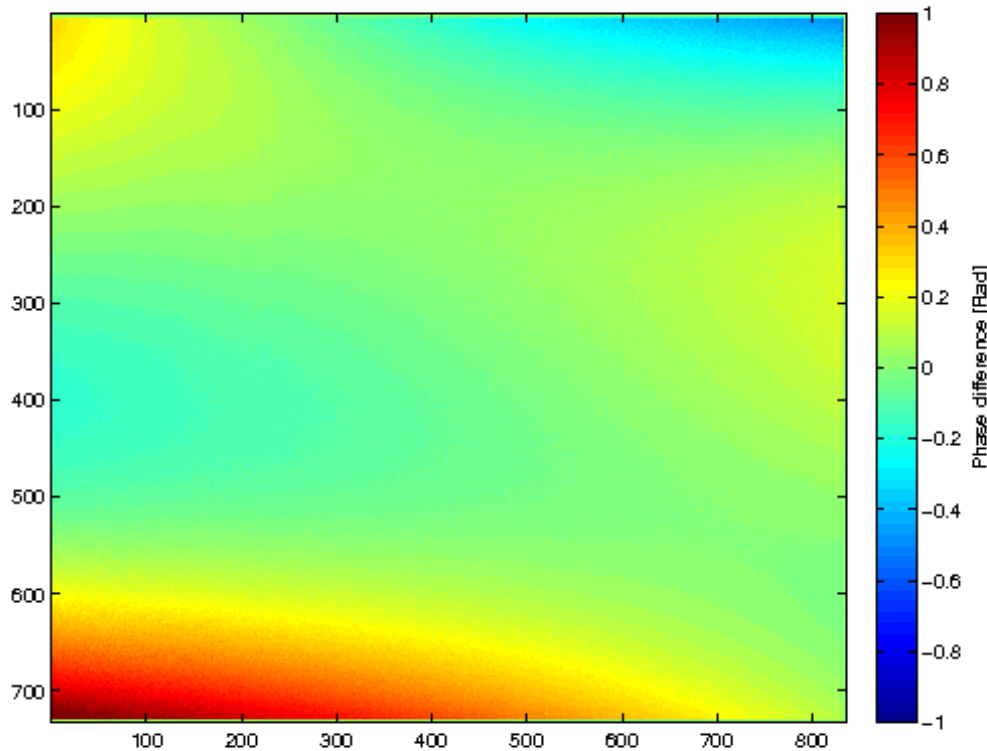
Pixel shift Range



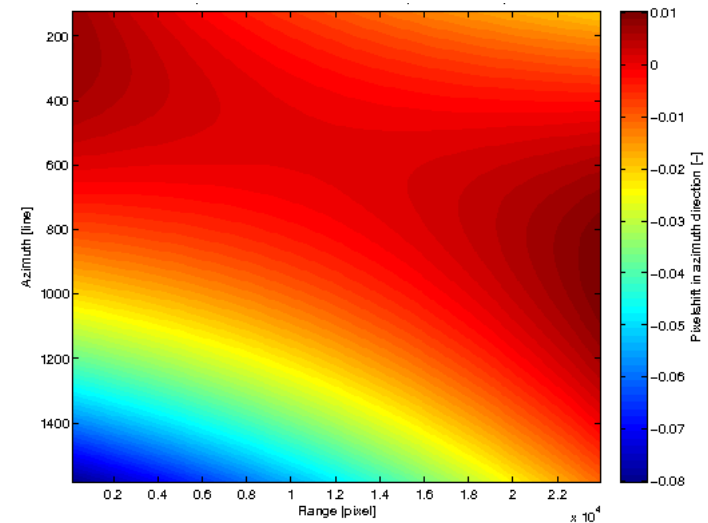


# Comparison of methodologies: burst level

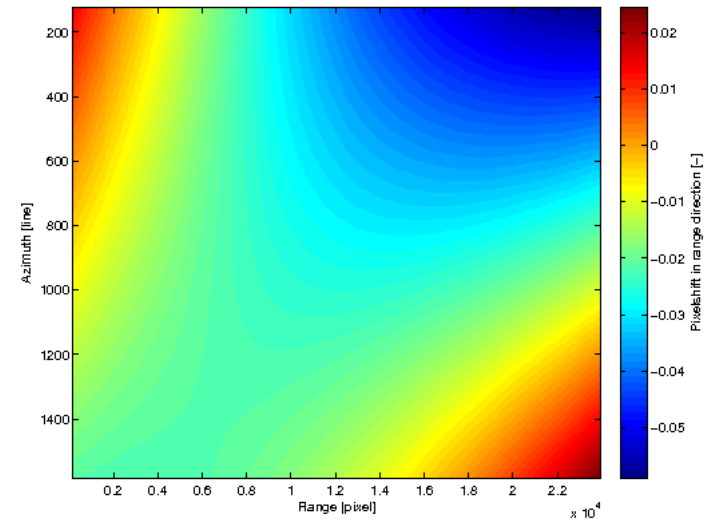
Difference CCC point scatterers – ICC point scatterers



Pixel shift Azimuth

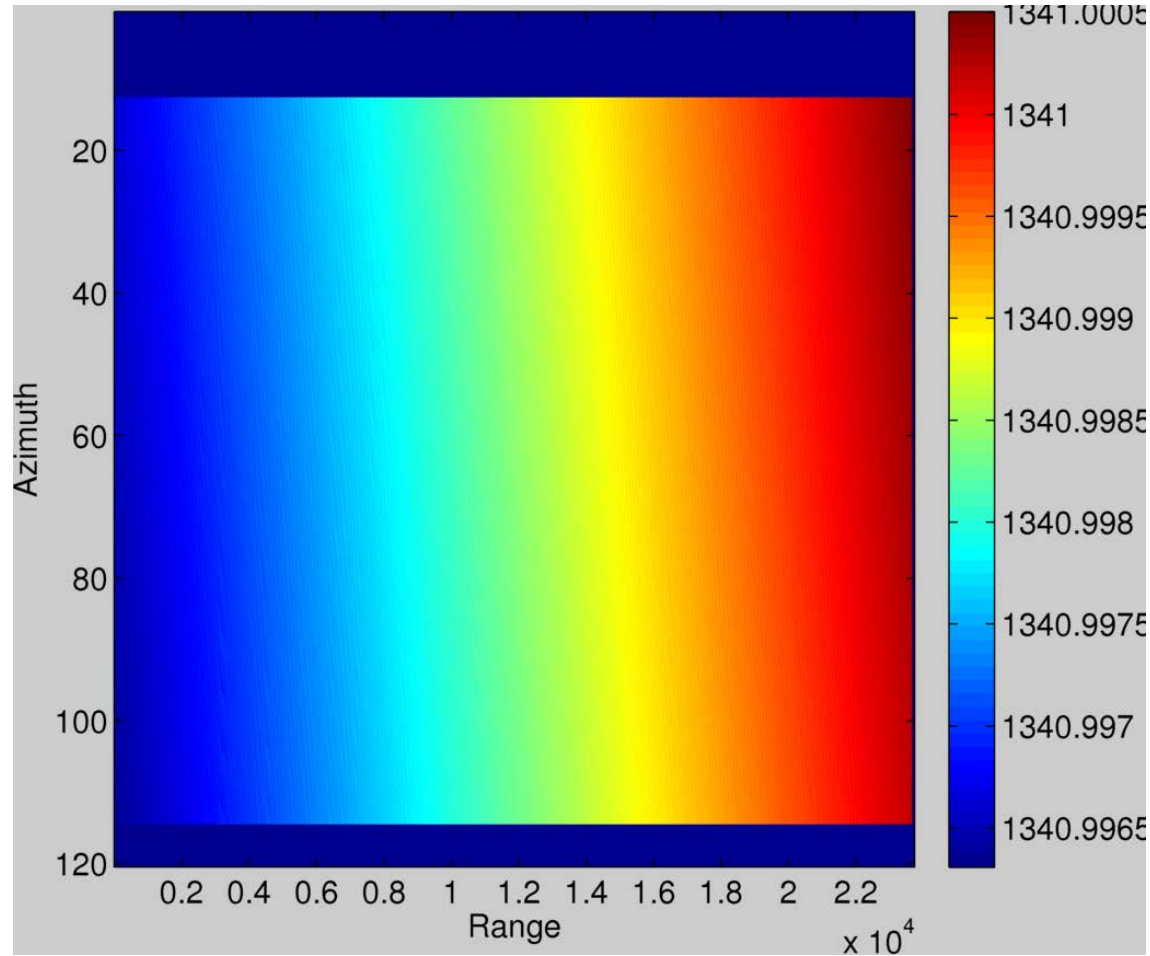


Pixel shift Range



# Assessment of consistency: burst overlaps

Difference range shift burst overlap ICC point scatterers  
(1-degree polynomial)



# Consistency in coregistration

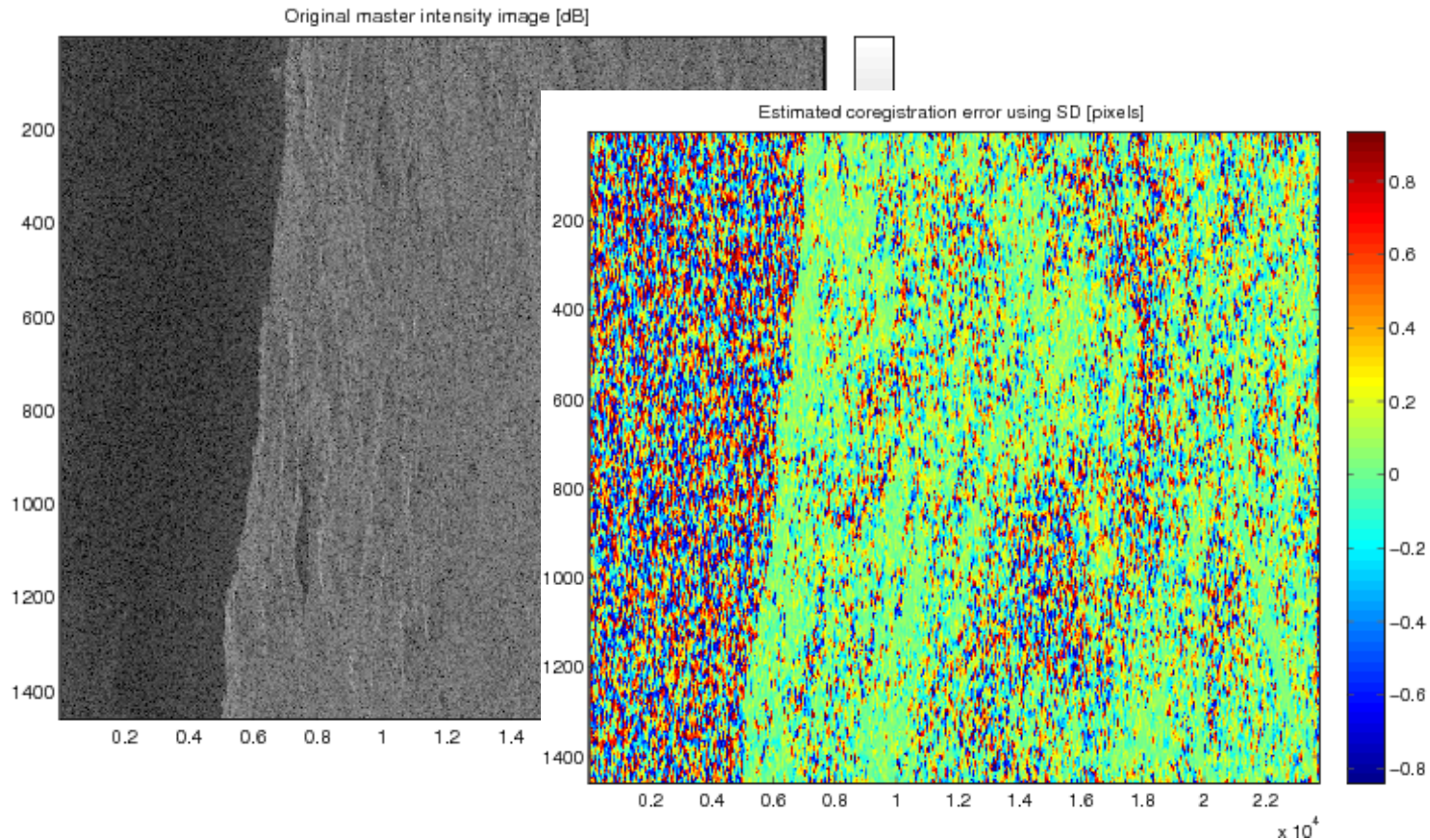
To preserve consistency in the sub-swath/full-swath:

- Single warp function per sub-swath

or

- **DEM-based coregistration**

# Spectral Diversity



Currently mean shift is taken. To be changed to pixel-based offsets.

# Correction based on burst overlaps

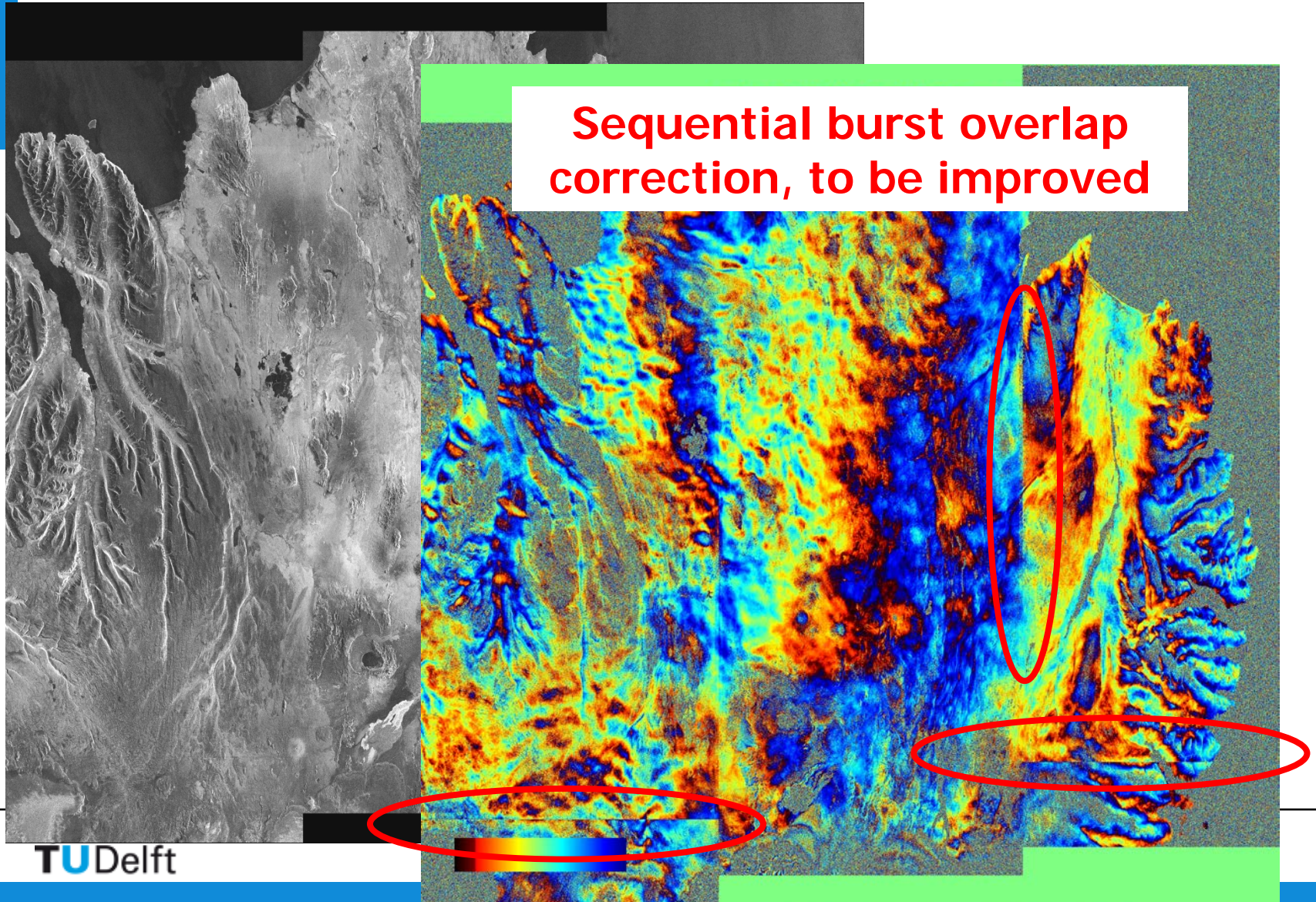
- Currently sequential correction of bursts
- To be changed to integrated correction per sub-swath/full-swath

# Merging of bursts/sub-swaths

- Based on GDAL library
- Open question: what to do with burst overlap?
  - Weighted average?
  - Cut at middle of burst overlap?

# Iceland

18 Oct 2014 – 30 Oct 2014



# Conclusions

- Data with excellent coherence
- TOPS mode forces us to re-assess and improve our coregistration procedures, which is also usefull for other data
- Apart from the technical challenges, significant software adaptations are required for the administration (merging of bursts)
- Correction of azimuth shifts requires further improvement