

→ 4th ESA ADVANCED TRAINING  
ON OCEAN REMOTE SENSING

# Principles of Ocean Colour remote sensing

David Doxaran

Marine Optics & Remote Sensing Group

Laboratoire d'Océanographie de Villefranche (CNRS/UPMC)

[doxaran@obs-vlfr.fr](mailto:doxaran@obs-vlfr.fr)

<http://www.obs-vlfr.fr/LOV/OMT/>

Laboratoire d'Océanographie de Villefranche (France)  
Marine Optics and Remote Sensing Group  
[www.obs-vlfr.fr/LOV/OMT/](http://www.obs-vlfr.fr/LOV/OMT/)

Funded by Prof. André Morel (teaching, research, observation)



# Outline

## 1. Ocean colour historical background

## 2. Radiative transfer – Modelling

## 3. Ocean colour remote sensing

Basic principles

Sensors

Calibration and validation

## 4. Marine optics & Biogeochemistry

- Case 1 & Case 2 waters
- Apparent & inherent optical properties

## 5. Introducing ocean colour algorithms and products

- Atmospheric corrections
- Inversion algorithms
- Processing software and ocean colour products

## 6. Applications

## 7. References

# 1. Ocean colour historical background

**Poseidon's paintbox:** Historical archives of ocean colour in global change perspective, by [Dr. Marcel R. Wernand](#), ISBN: 97890-6464-509-9.

- Describes the gradual understanding of the transparency (clarity) and degrees of coloration of natural waters from H. Hudson (~1600) to C. Raman (1970),
- Highlights reports of explorers and scientists (how is it related to water quality and contents?),
- Explains the development of instruments (Secchi disc, Forel-Ule scale, etc.)
- Relates Raman (1922) discoveries on light (blue) scattering and absorption (long visible wavelengths) by water molecules.

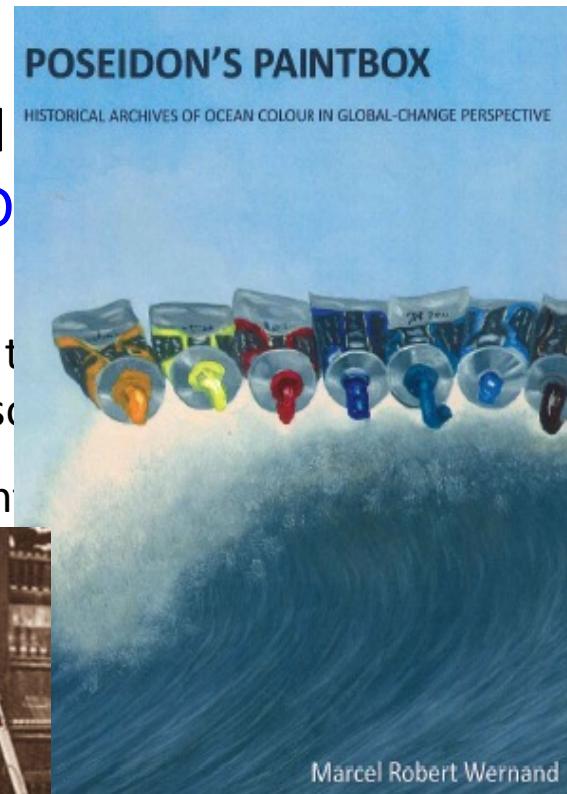
# 1. Ocean colour historical background

**Poseidon's paintbox:** Historical global change perspective, by D 97890-6464-509-9.

- Describes the gradual understanding of the coloration of natural waters from H. Hudson to Raman.
- Highlights reports of explorers and scientists (what did they see, what were their contents?),
- Explains the development of ocean colour remote sensing (what does it measure, etc.)
- Relates Raman (1922) to the visible wavelengths) by



Secchi, Pietro Angelo  
Inventor of the Secchi Disk

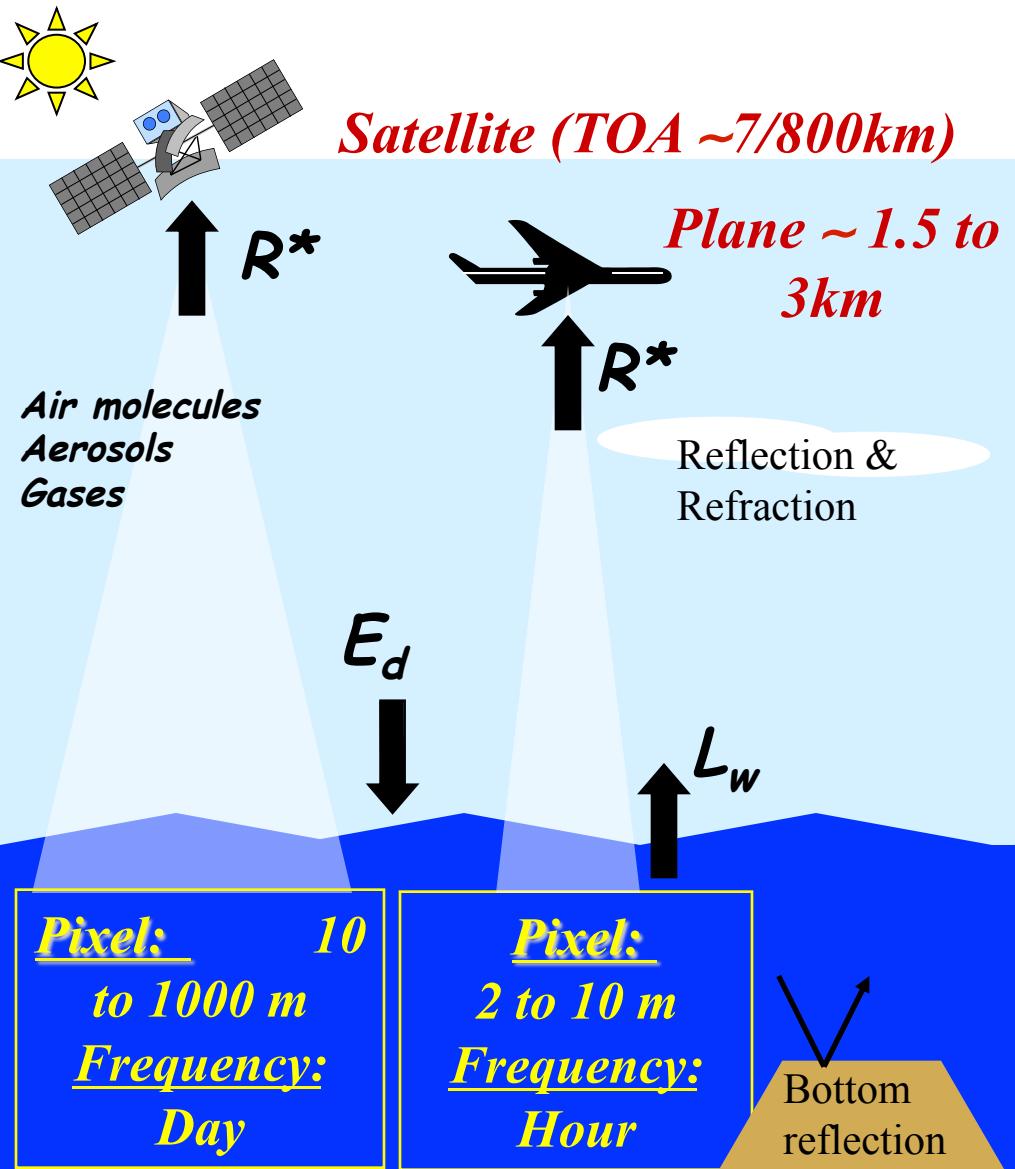


## Water colour = water reflectance

→ Identification / quantification of the coloured water constituents  
= non-algal particles, CDOM, Chla (+ phyto.species)



# Overview



## Method

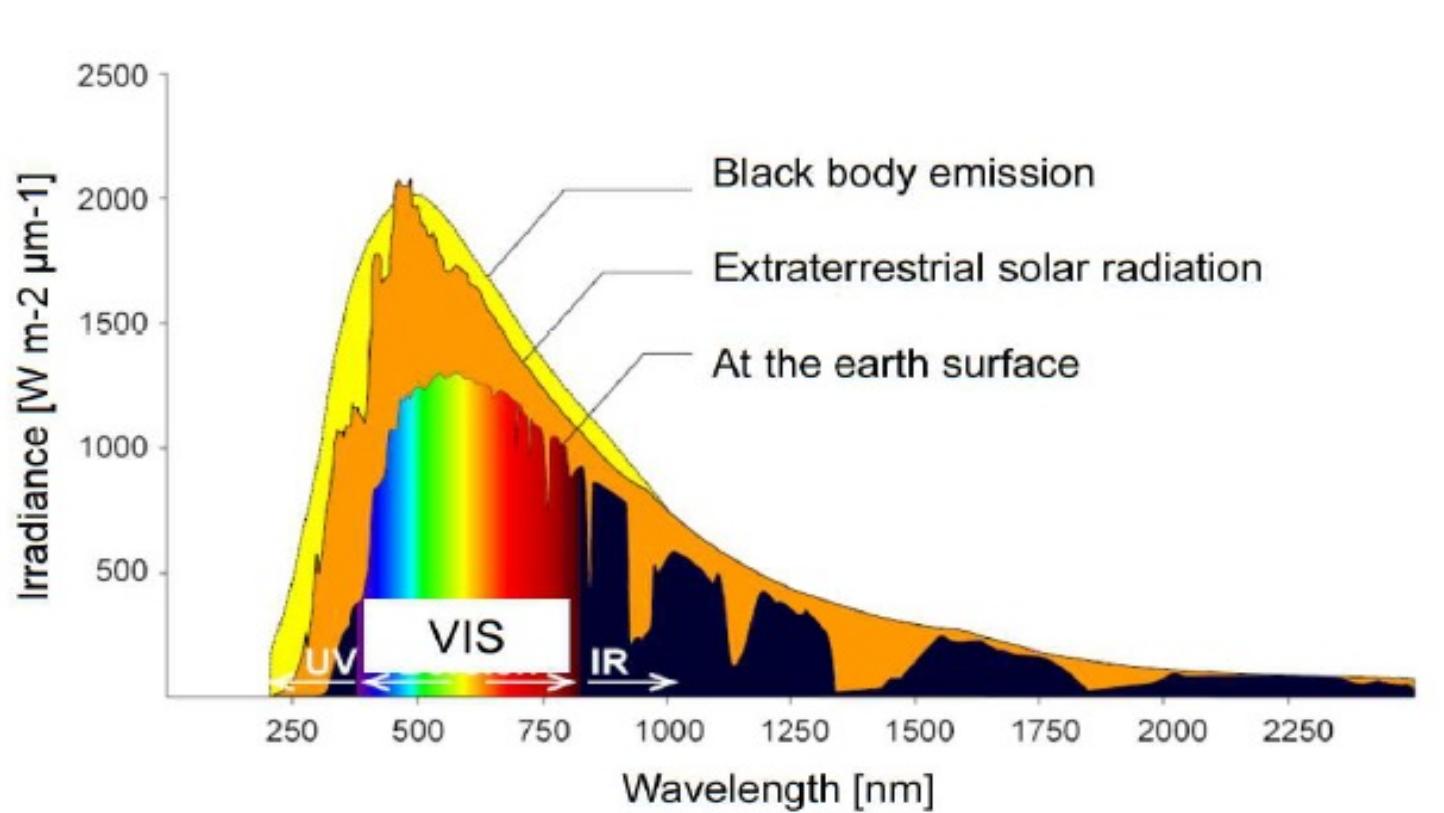
- **Atmospheric correction:**  
 $R^* \Rightarrow R_{rs}$
- **Water reflectance:**  
 $R_{rs} = L_w / E_d$
- **Inversion:**  
 $R_{rs} \Rightarrow \text{IOPs} // \text{concentrations of water constituents}$

### Coloured water constituents:

- Phytoplankton (Chla)
- Dissolved organic material (CDOM)
- Non-algal particles (NAP)

# Solar spectrum

## Solar Spectrum



Physical measurement of the « ocean colour » =

Radiance  $L$  in  $\text{W/m}^2/\text{sr/nm}$ , i.e. the light intensity coming from a specific direction

→  $R_{rs} = L_w / E_d$ , en  $1/\text{sr}$

Derived from ocean colour satellite data

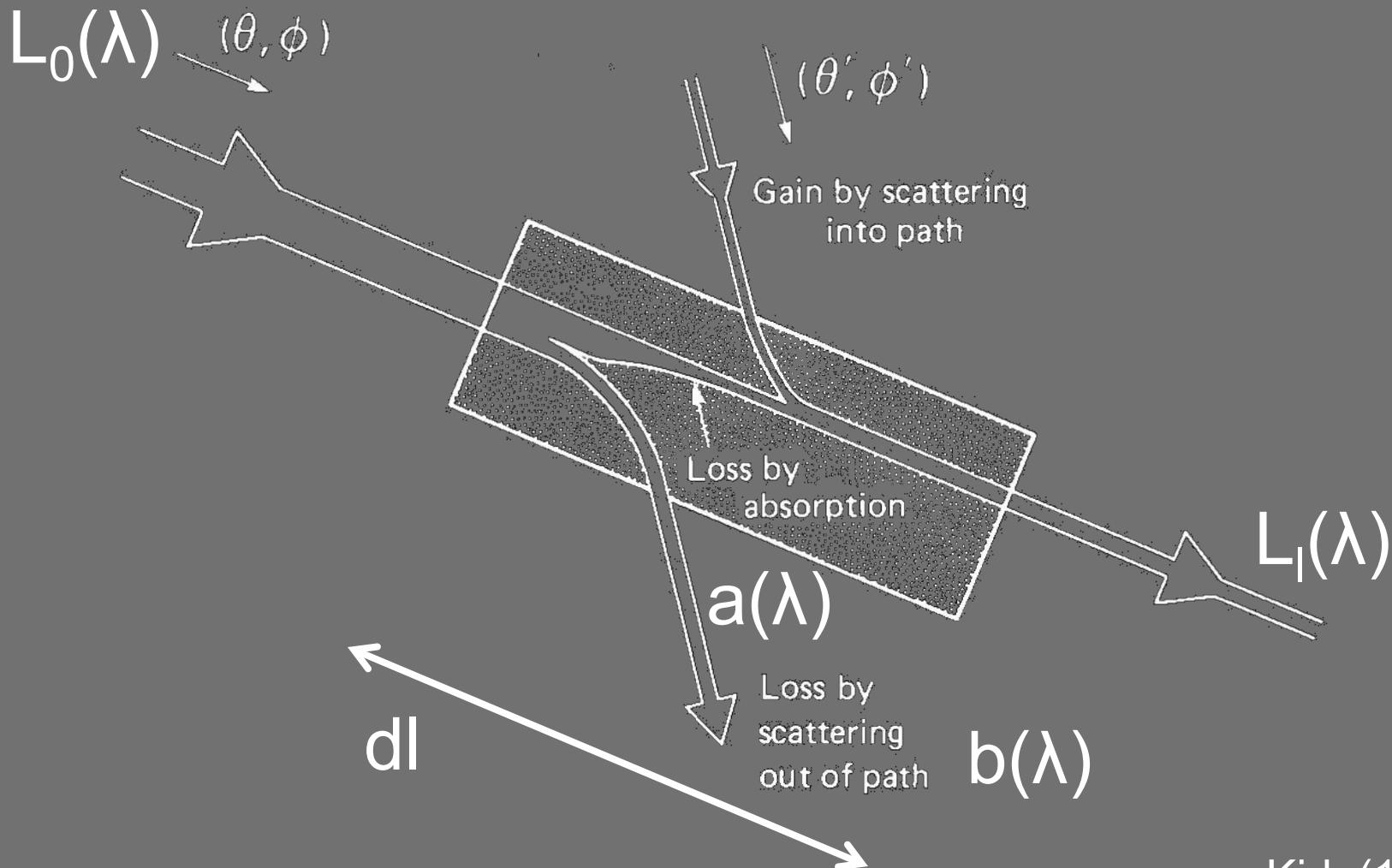
## 2. Radiative transfer – Modelling

Radiative transfer is the physical phenomenon of energy\* transfer in the form of electromagnetic radiation. The propagation of radiation through a medium (atmosphere, natural waters and interfaces) is affected by absorption, emission, and scattering processes. The radiative transfer equation describes these interactions mathematically.

Radiative transfer numerical models compute radiance distributions and derived quantities (irradiances, reflectances, K functions, etc.) within the atmosphere and natural water bodies.

\* Here radiance (in  $\text{W} \cdot \text{m}^{-2} \cdot \text{sr}^{-1} \cdot \text{nm}^{-1}$ ), i.e. number of photons at specific wavelength and direction and per unit of solid angle.

# Radiative transfer



## Examples of codes:

**HydroLight** (Mobley 1994) solves the 1D time-independent radiative transfer equation to compute the radiance distribution within and leaving any plane-parallel water body. The spectral radiance distribution is computed as a function of depth, direction, and wavelength within the water.

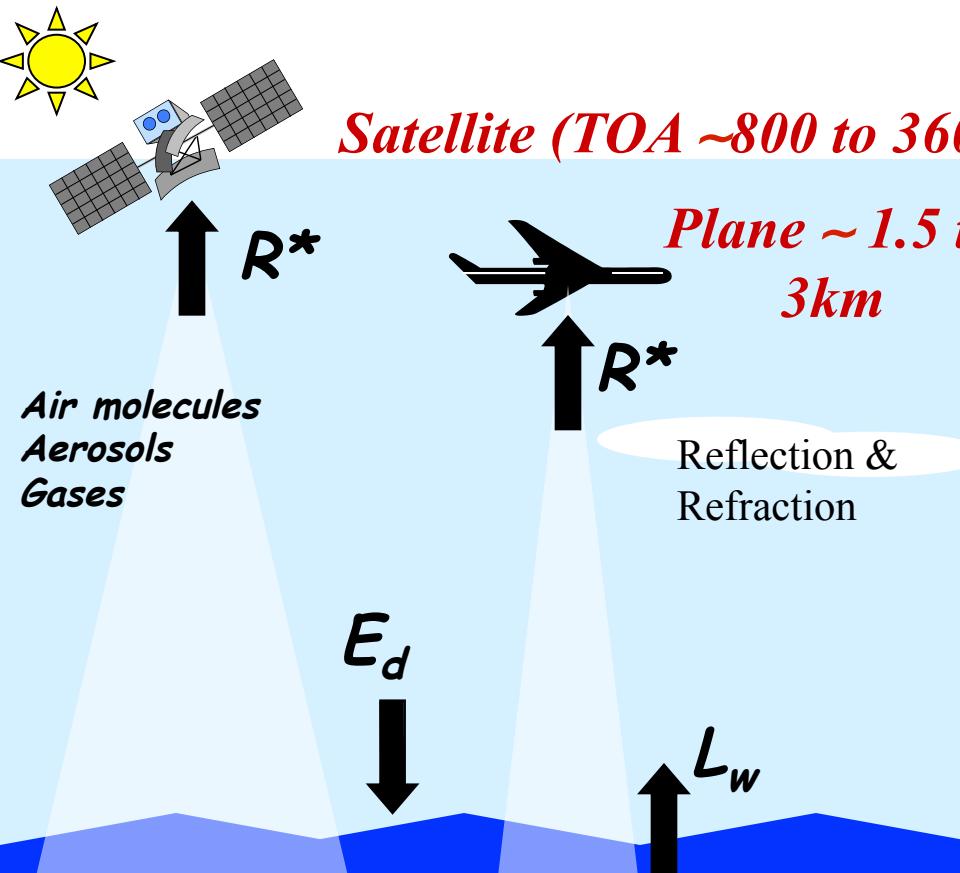
**6S** (Vermote et al. 1997)) and **MODTRAN** (Acharya et al. 1999) codes solve the radiative transfer equation including the effects of molecular and particulate absorption/emission and scattering, surface reflections and emission, solar/lunar illumination, and spherical refraction.

## Also:

**Monte Carlo simulations** have been widely used to solve and understand marine optics and ocean colour remote sensing problems (e.g., Kirk 1992, 1993).

**Mie theory** allows computing the absorption, scattering and attenuation of light by particles of different composition, size and shape (Bohren and Huffman 1983).

# 3. Satellite remote sensing



*Satellite (TOA ~800 to 36000km)*



*Plane ~1.5 to 3km*

Reflection &  
Refraction

$E_d$

$L_w$

Pixel: 10  
to 1000 m  
Frequency: Day

Pixel: 2 to 10 m  
Frequency: Hour

Bottom  
reflection

## Method

- Atmospheric correction:

$$R^* \Rightarrow R_{rs}$$

- Water reflectance:

$$R_{rs} = L_w / E_d$$

- Inversion:

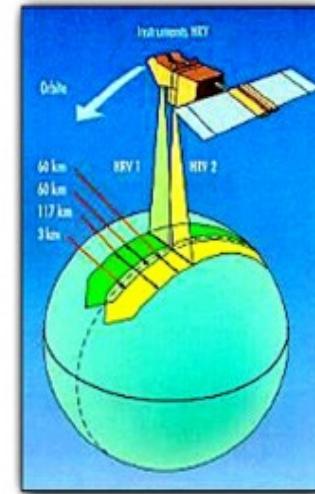
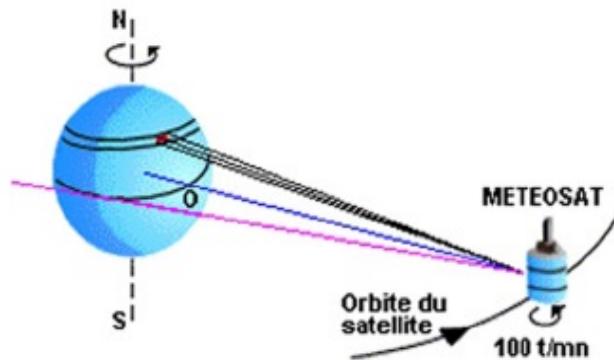
$R_{rs} \Rightarrow$  IOPs // concentrations  
of water constituents

### Coloured water constituents:

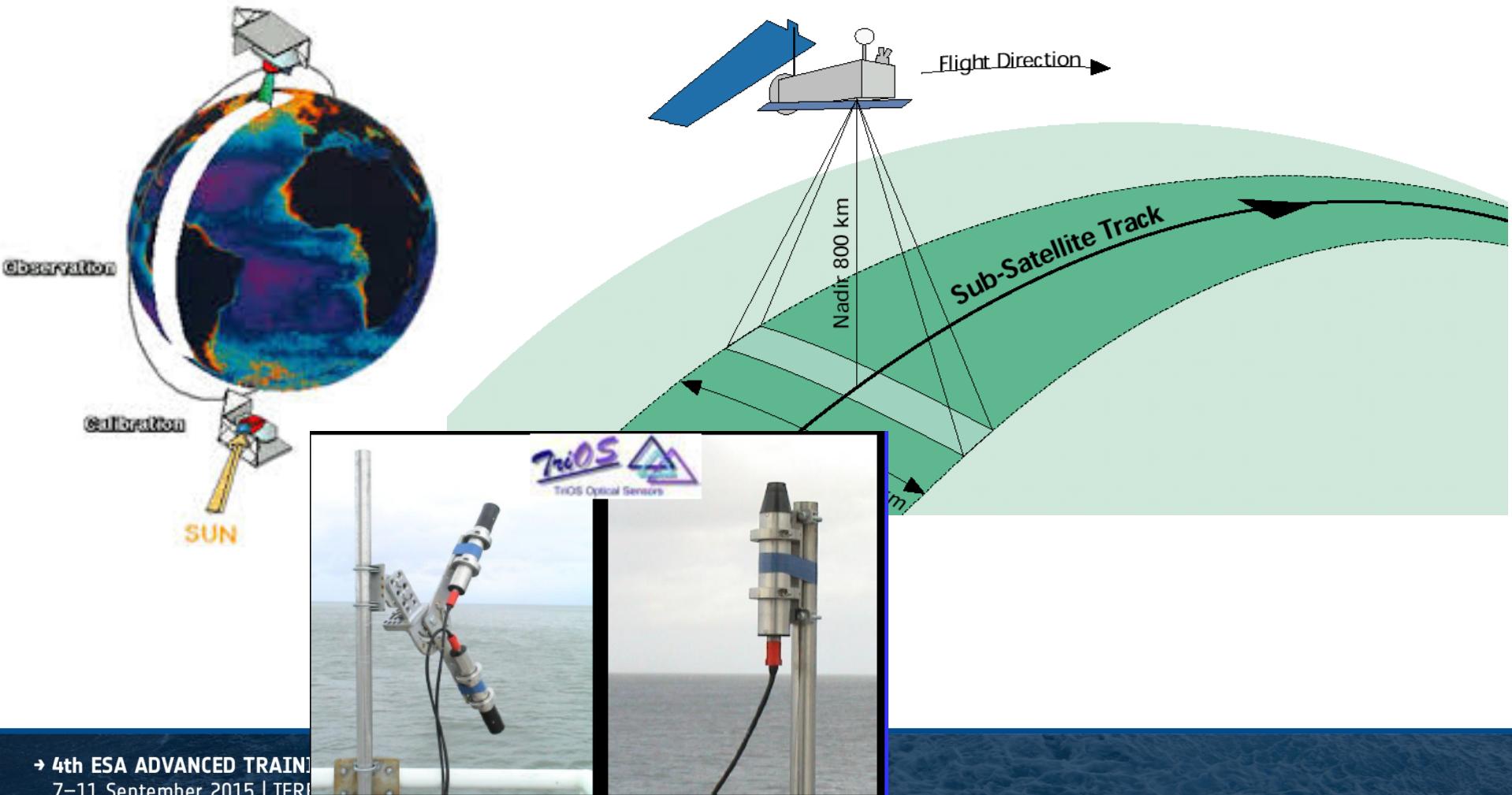
- Phytoplankton (Chla)
- Dissolved organic material (CDOM)
- Non-algal particles (NAP)

## Ocean colour remote sensing

**Spectrometers onboard satellite platforms following a polar (LEO), geostationary or geosynchronous (GEO) orbit.**



# Satellite platforms



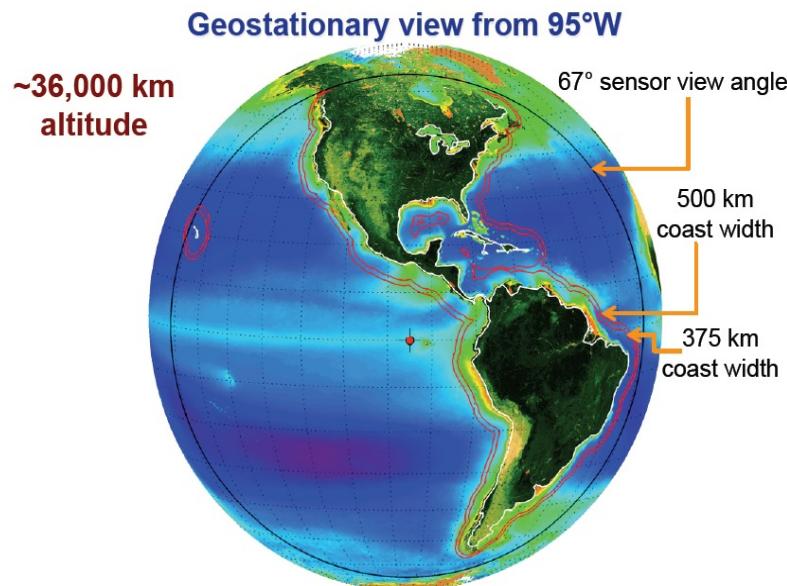
[www.ioccg.org/sensors\\_ioccg.html](http://www.ioccg.org/sensors_ioccg.html)

SENSOR	AGENCY	SWATH (km)	SPATIAL RESOLUTION (m)	BANDS	SPECTRAL COVERAGE (nm)	ORBIT
MERIS	ESA (Europe)	1150	300/1200	15	412-900	Polar
MODIS	NASA (USA)	2330	250/500/1000	36	405-14,385	Polar
VIIRS	NOAA / NASA (USA)	3000	370 / 740	22	402 - 11,800	Polar
HICO	ONR and DOD Space Test Programme	50 km Selected coastal scenes	100	124	380 - 1000	Polar
GOCI	KARI/KORDI (South Korea)	2500	500	8	400 - 865	Geostationary

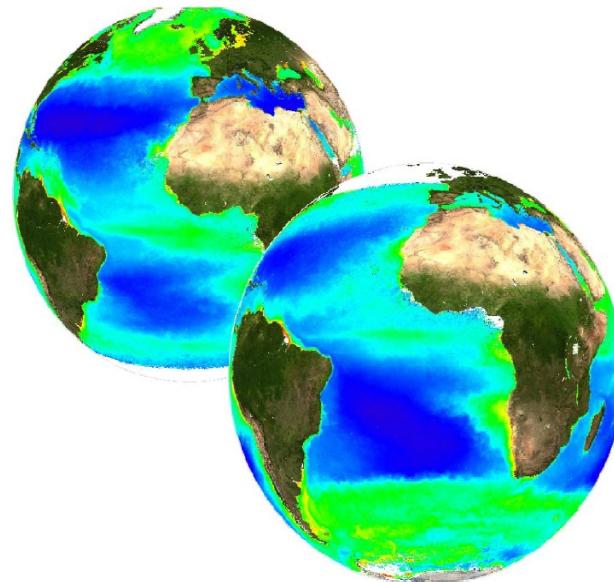
# Future ocean colour satellite sensors / projects

SENSOR (Year)	AGENCY	SWATH (km)	SPATIAL RESOLUTION (m)	BANDS	SPECTRAL COVERAGE (nm)	ORBIT
OLCI (2014)	ESA (Europe)	1270	300/1200	21	4-1020	Polar
GOCI-2 (2018)	KARI/KORDI (South Korea)	2500 / full disk	250	13	380 - 865	Geostationary
GEOCAPE (2025?)	NASA (USA)	Full disk	250	20	345-1600	Geostationary
GEOCAPI (2025?)	CNES	Full disk	250	18	380-1020	Geostationary

# Future ocean colour geostationnary missions



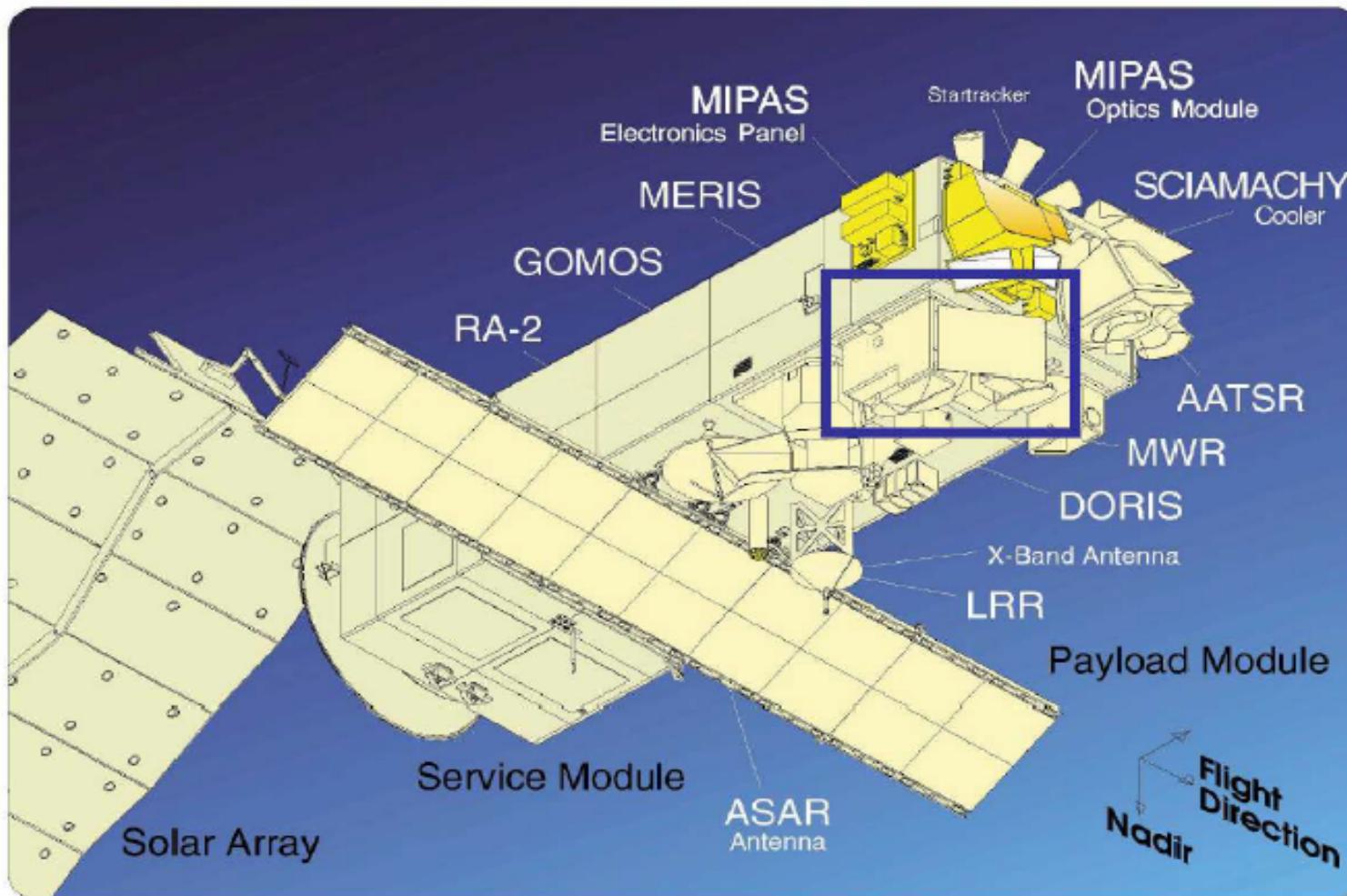
**OCAPI**  
Ocean Colour Advanced Permanent Imager



- Within AMF  $\leq 5$ , where atmospheric correction is feasible, coverage extends to nearly  $\sim 60^\circ$  latitude in summer and  $\sim 50^\circ$  in winter and from  $\sim 30^\circ$ W to  $\sim 155^\circ$  W (at equator).

*Towards full Earth's coverage every hour...*

## MERIS on ENVISAT



# MERIS Spectral Bands

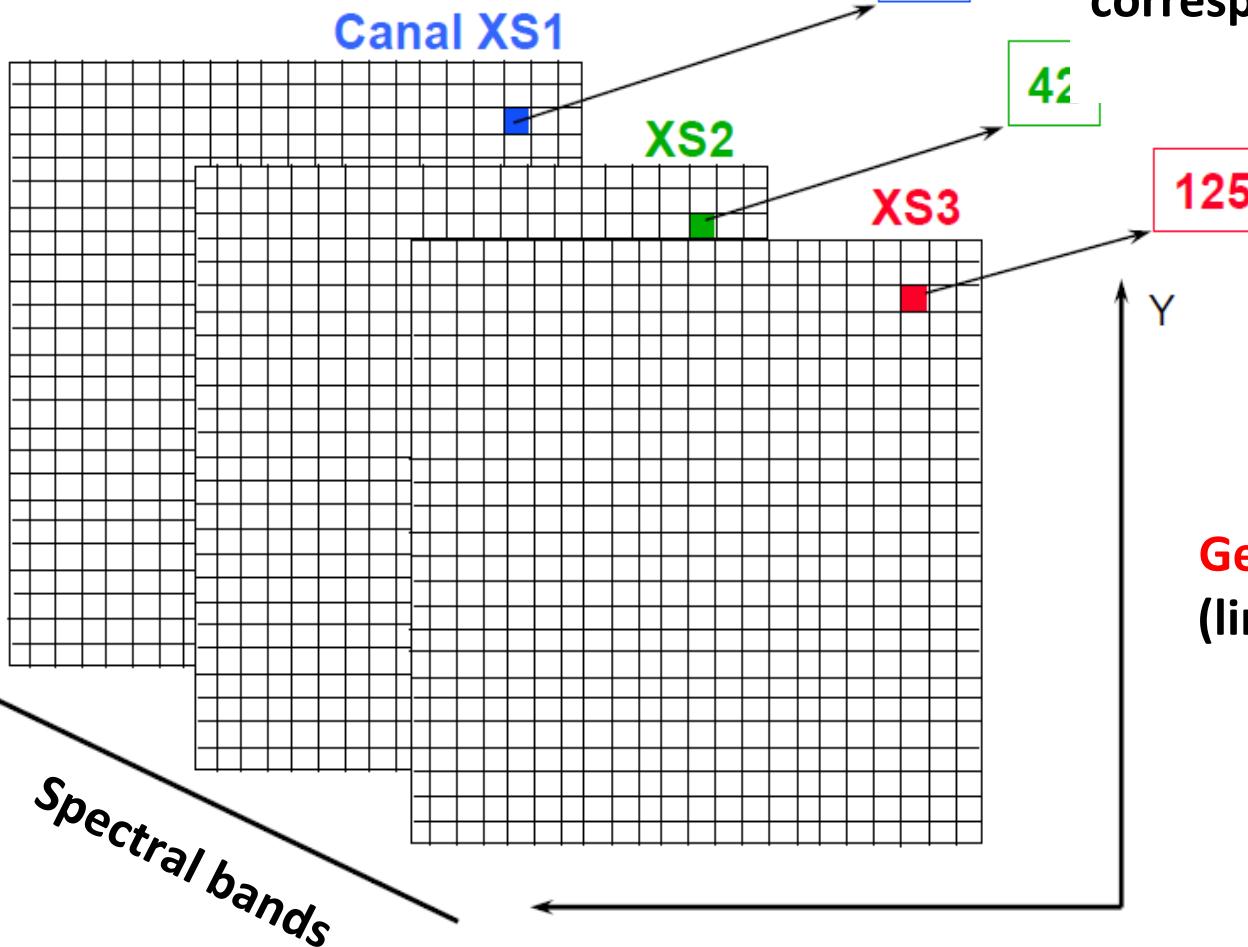
Bd Nr.	Band centre (nm)	Bandwidth (nm)	Potential Applications
1	412.5	10	Yellow substance, turbidity
2	442.5	10	Chlorophyll absorption maximum
3	490	10	Chlorophyll, other pigments
4	510	10	Turbidity, suspended sediment, red tides
5	560	10	Chlorophyll reference, suspended sediment
6	620	10	Suspended sediment
7	665	10	Chlorophyll absorption
8	681.25	7.5	Chlorophyll fluorescence
9	705	10	Atmospheric correction, red edge
10	753.75	7.5	Oxygen absorption reference
11	760	2.5	Oxygen absorption R-branch
12	775	15	Aerosols, vegetation
13	865	20	Aerosols corrections over ocean
14	890	10	Water vapour absorption reference
15	900	10	Water vapour absorption, vegetation

# Satellite image

## Data matrix (pixels)

(EX: Image SPOT- HRV)

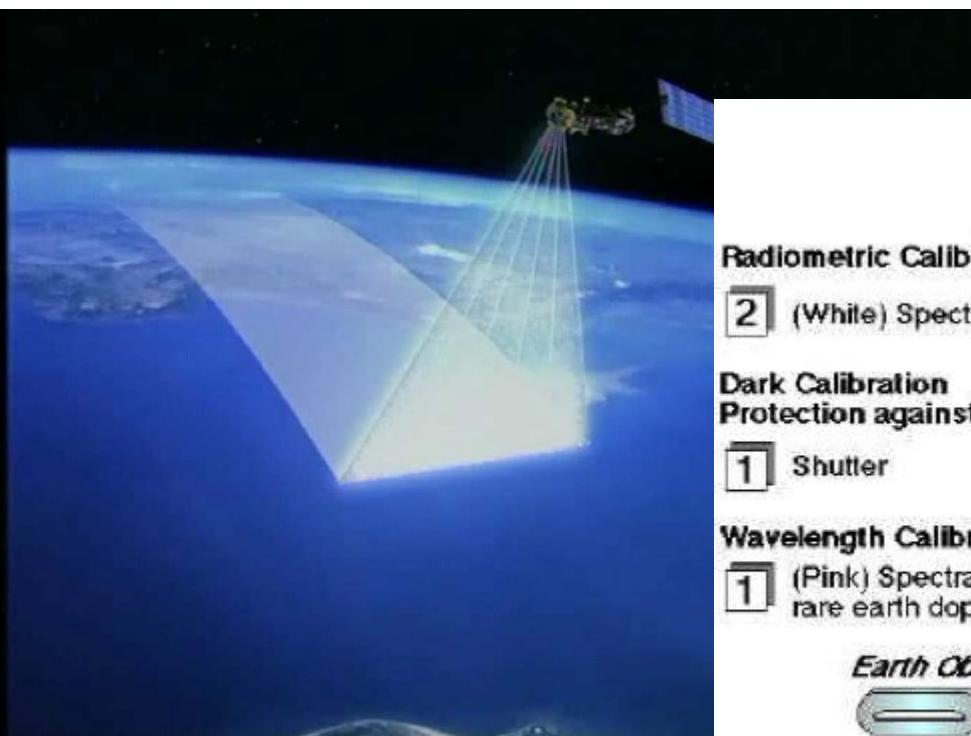
Canal XS1



Numerical counts (CN)  
correspond to radiometric  
values

Geographical location  
(line/row → latitude/  
longitude)

# 1) On-board calibration



## On Board Calibration

MERIS is calibrated with respect to the sun

### Radiometric Calibration

- 2 (White) Spectralon diffusers (N+R)

### Dark Calibration Protection against contamination

- 1 Shutter

### Wavelength Calibration

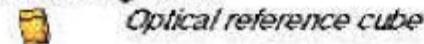
- 1 (Pink) Spectralon diffuser,  
rare earth doped

### Earth Observation

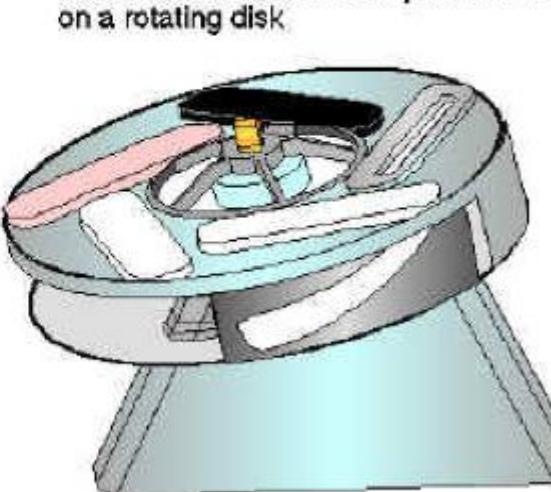


Earth diaphragm

### Diffuser Alignment



Optical reference cube

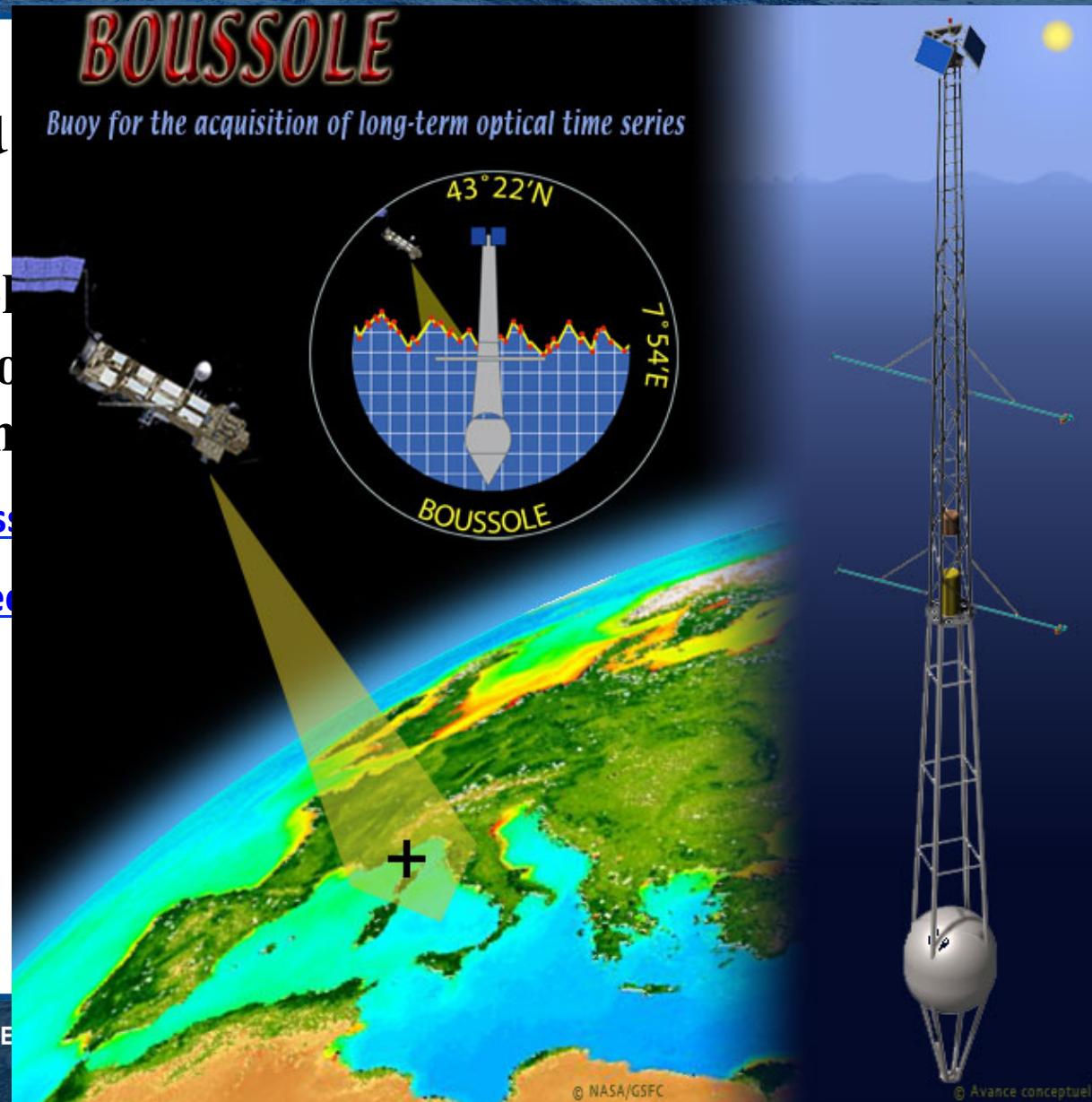


## 2) Vicariou

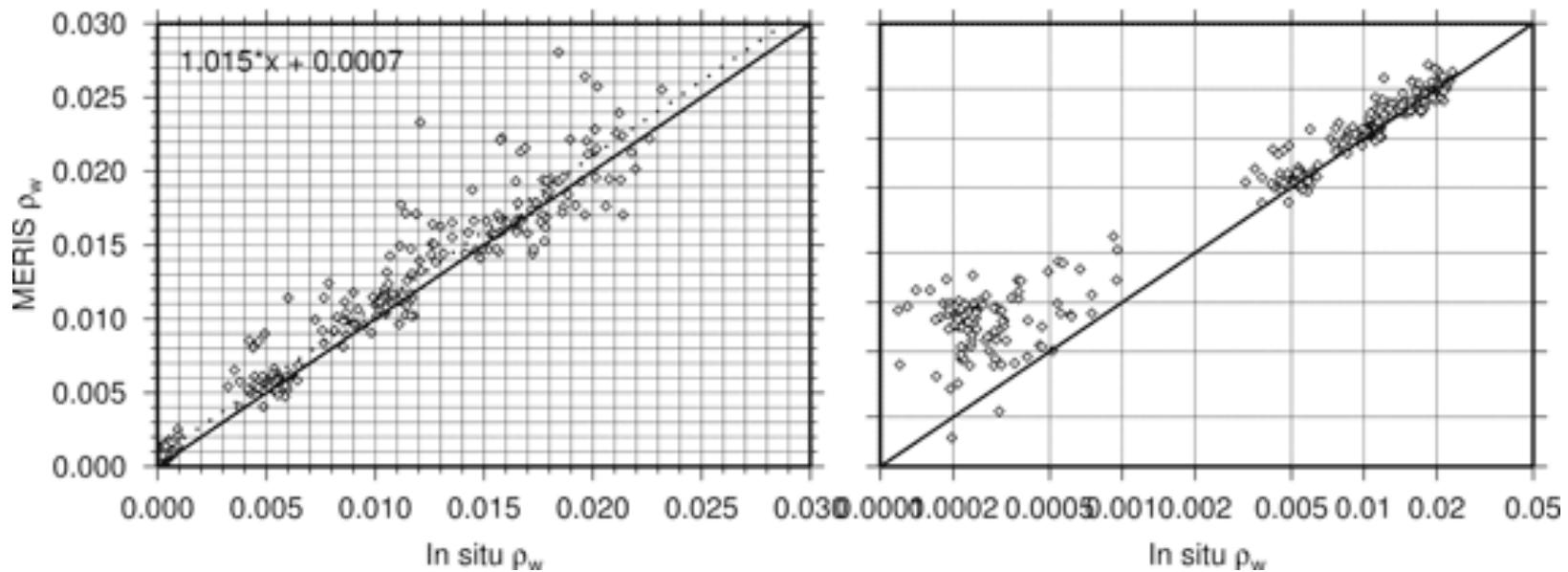
Use of continuous field  
autonomous buoys (Boussole)  
Pacific Ocean) and m

<http://www.obs-vlfr.fr/Boussole/>

<http://moby.mlml.calstate.edu/>



## Vicarious calibration / Validation



MERIS matchups (in terms of  $\rho_w$ ) at the BOUSSOLE site, including the following wavelengths : 412, 443, 490, 510, 560, 670, 683 nm. The same data are plotted with a linear scale on the left and a log scale on the right, in order to highlight the low reflectance values of the red wavelengths. The solid line is the 1:1 line. The dotted line is a simple linear fit on the data. The slope and intercept of this curve are also indicated.

## Classification of natural waters

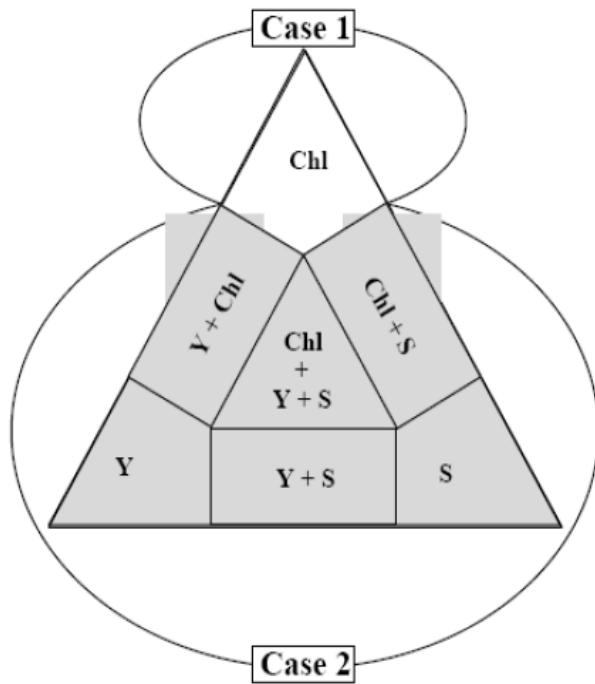


Figure 1. Partitioning of seawater into optical main optical classes.

- Phytoplankton chlorophyll (and degraded products) is the unique coloured constituent in Case 1 waters
- Case 2 waters are also influenced by terrestrial substances (NAP, gelbstoff)

Morel / Antoine MERIS Case 1 water ATBD

## Apparent and inherent optical properties

Preisendorfer (1961): apparent optical properties (**AOPs**) depend on the **radiance distribution** whereas inherent optical properties (**IOPs**) such as the absorption coefficient (**a**), the scattering coefficient (**b**), and the beam attenuation coefficient (**c**) which are intrinsic properties of the aquatic medium itself not affected by the prevailing distribution of radiance.

### AOPs (radiometric measurements)

$\mathbf{R} = E_u/E_d \text{ (dl)}$ ;  $\mathbf{R}_{rs} = L_w/E_d \text{ (1/sr)}$  = reflectance or colour of the water

$E_d(z) = E_d(0-) \exp(-K_d \times z)$  = Solar light penetration into water column

## Apparent and inherent optical properties

Semi-analytical models relating the AOPs and IOPs (Gordon et al. 1975, Morel & Prieur 1977, Kirk 1983), e.g.:

$$K_d \cong (a + b_b) / \cos(\theta_{sw}) \quad (1/m)$$

$$R \cong f \times b_b / (a + b_b)$$

Total absorption and backscattering coefficients ( $a$  and  $b_b$ , in 1/m) are the sums of contributions by pure water (w), phytoplankton (Chla), non-algal particles (NAP) and gelbstoff (y):

$$a = a_w + a_{Chla} + a_{NAP} + a_y$$

$$b_b = b_{bw} + b_{bChla} + b_{bNAP}$$

# Optical properties

## IOPs measurements

Light absorption ( $\alpha$ ) can be measured using:

- Collimated source, reflective sample cell with diffuser in front of wide area detector (Wetlabs ac9, acs)
- Spectrophotometers (cuvette, filter-pads)

Light backscattering ( $\beta$ ) is measured at specific angles:

$$b = 2\pi \int_0^{\pi} \sin(\theta) \beta(\theta) d\theta$$

total scatter

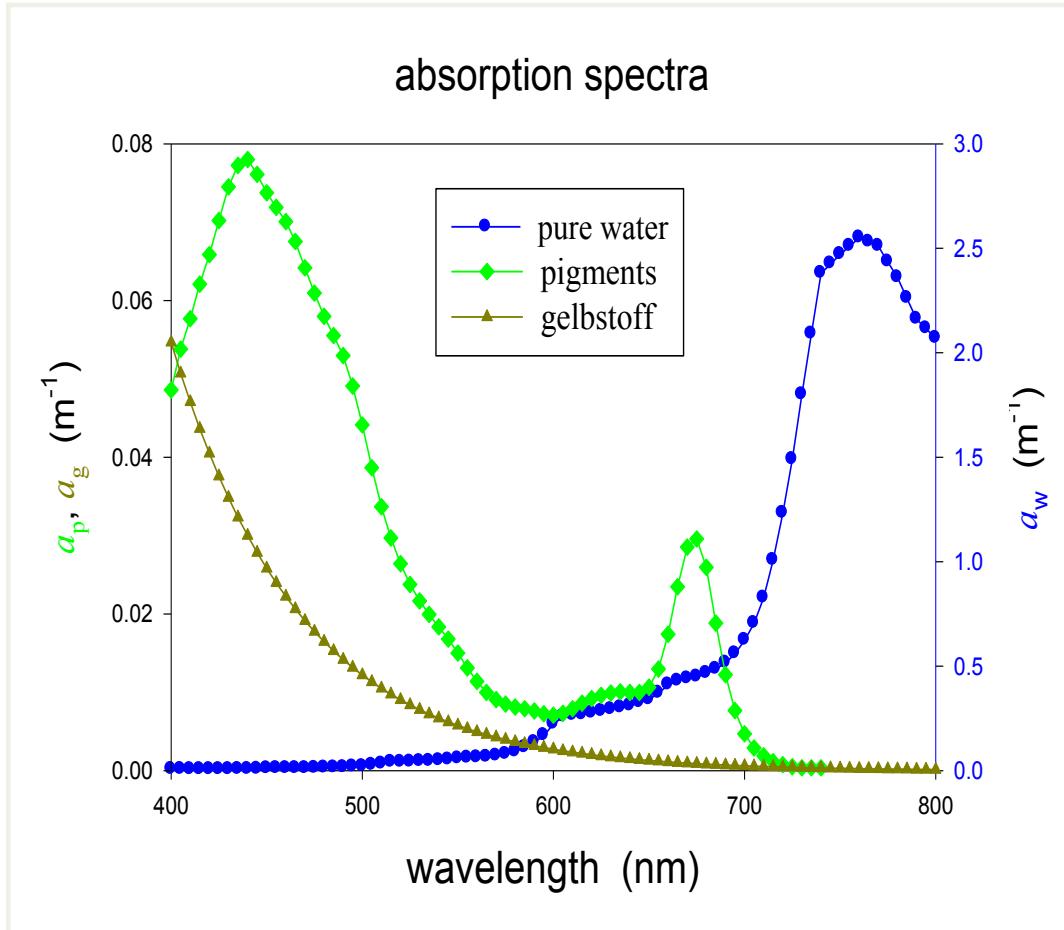
$$b_b = 2\pi \int_{\frac{\pi}{2}}^{\pi} \sin(\theta) \beta(\theta) d\theta$$

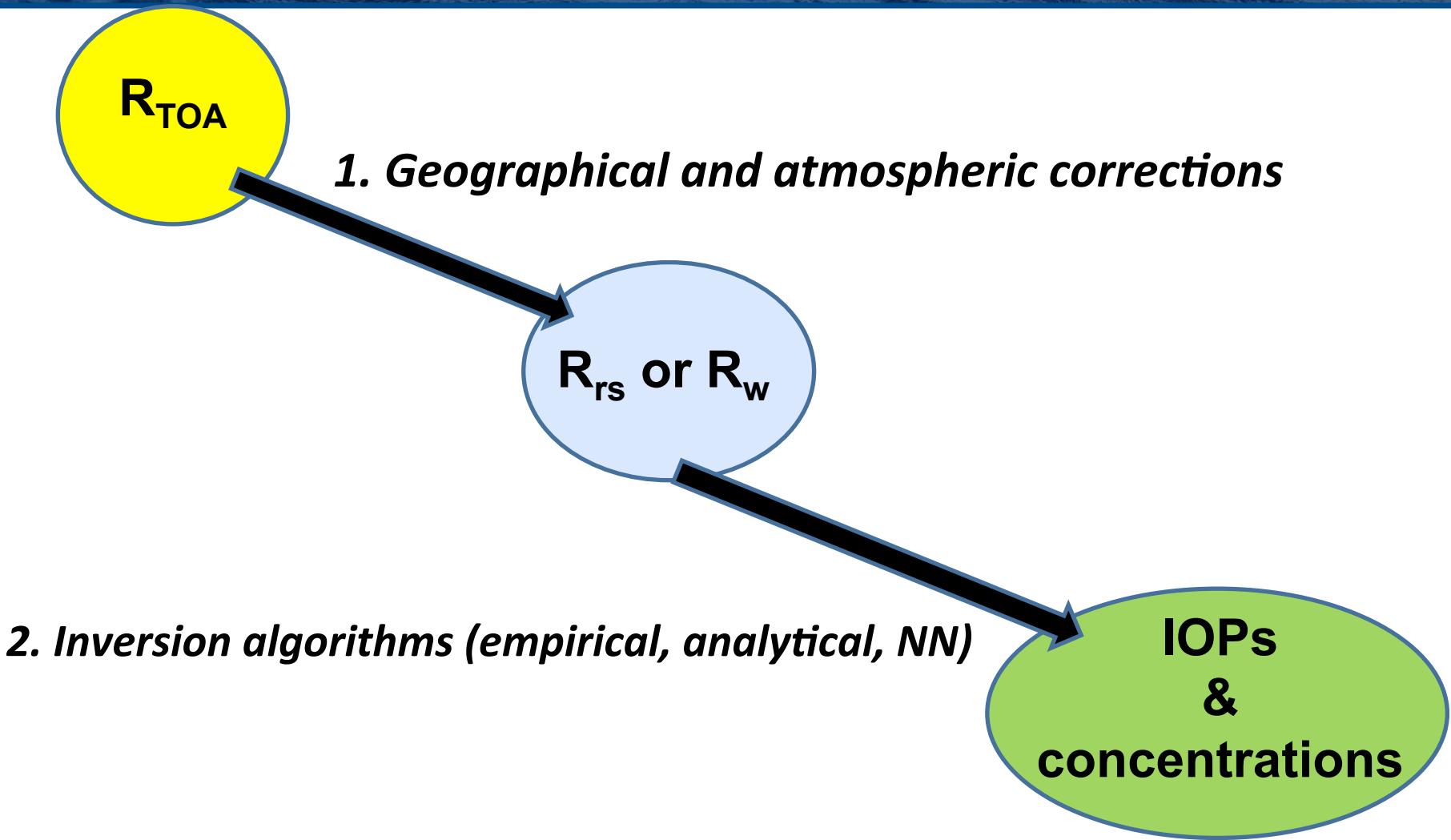
backscatter

$\theta$  = angle

$\beta(\theta)$  = volume scattering function ( $\text{m}^{-1} \text{ sr}^{-1}$ )

## Spectral absorption coefficients.



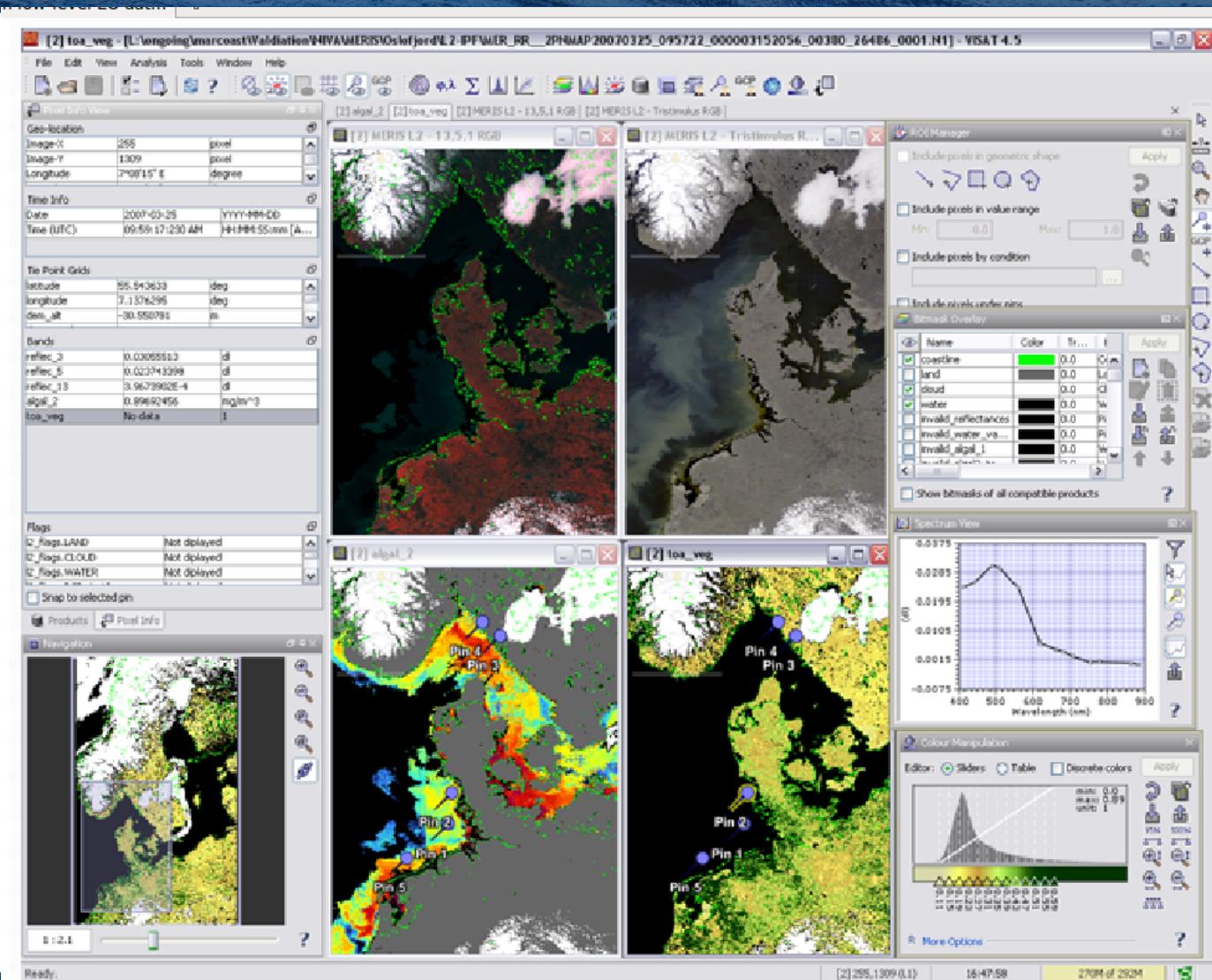


# Processing softwares

Beam-VISAT,  
ODESA (ESA):  
MERIS, OLCI



SeaDAS (NASA):  
(SeaWiFs, MODIS)



# 6. Applications

In Case-1 and Case-2waters, ocean colour remote sensing provides useful information on:

- Primary production
- Formation/degradation of particulate matter
- Organic carbon fluxes and cycles
- Food web / Ecosystems dynamics
- Fishery and (harmful) algal blooms
- Water quality
- Sediment transport and dynamics, fluxes
- etc...

# 6. Applications

## Case-1 waters

Primary production of the global ocean  
(Antoine et al. 1996)

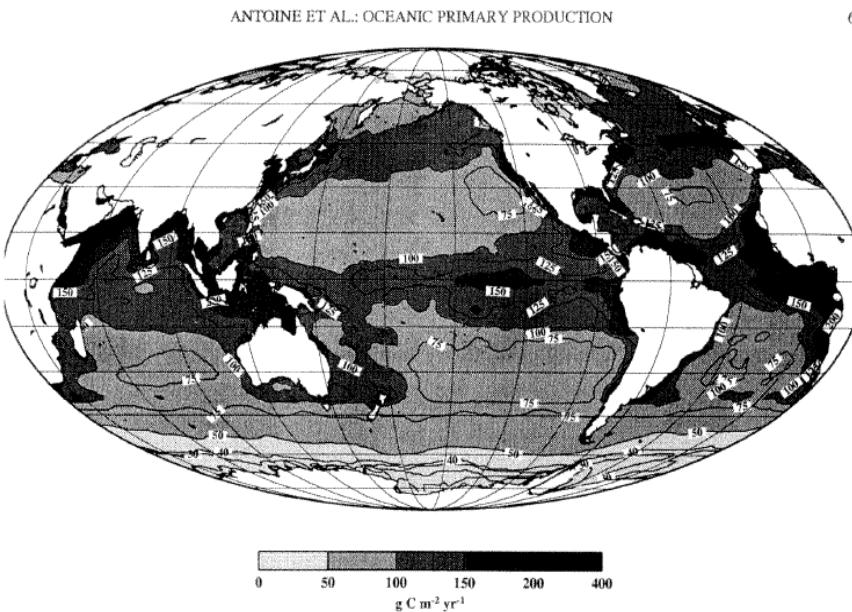
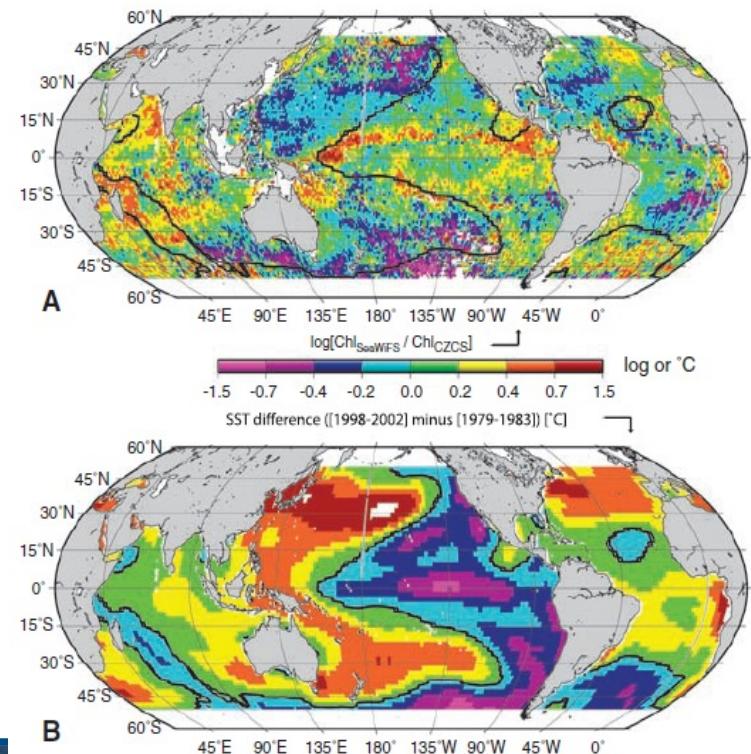


Figure 3. Annual primary production within the world ocean (equal surface "Mollweide" projection), obtained by summing the 12 monthly maps. This map shows the values obtained through the "standard" computation, which leads to a global annual carbon fixation of 36.5 Gt C yr<sup>-1</sup> (Table 1, line 1). This map can be compared to the historical primary production maps, as derived from compilations of in situ carbon fixation [e.g., Koblenz-Mishke et al., 1970; Berger et al., 1987].

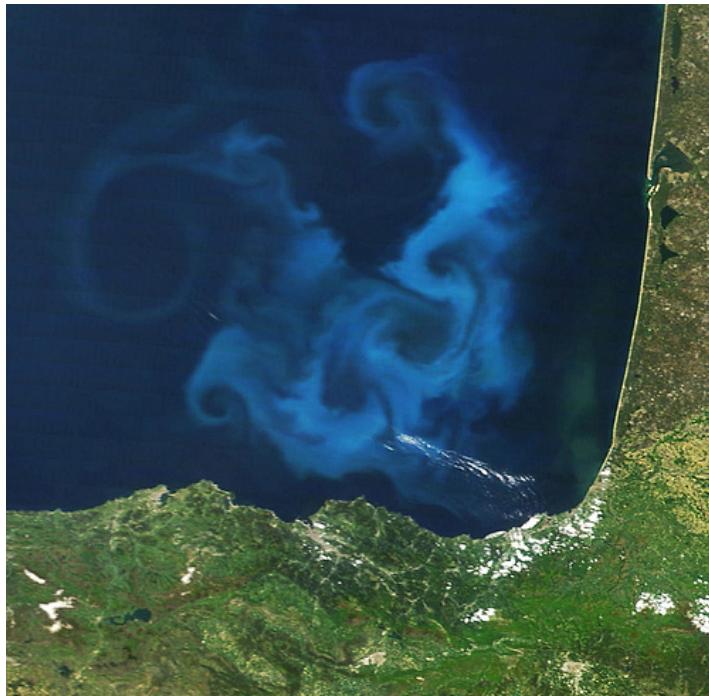
Impact of Climate Change  
(Martinez et al. 2009)



# 6. Applications

## Case-2 waters

Detection of phytoplankton blooms  
(Froidefond et al. 1999)



Fluxes at the land/ocean interface  
(Doxaran et al. 2012)



# 7. References: web links

## International Ocean Colour Coordinating Group

<http://www.ioccg.org/>

## European Space Agency (ESA) MERIS Handbook

<https://earth.esa.int/handbooks/meris/>

## ESA Beam Earth Observatiopn Toolbox and development platform

<http://www.brockmann-consult.de/cms/web/beam/>

## NASA Ocean Color Homepage and SeaDAS software

<http://oceancolor.gsfc.nasa.gov/>

LOV Remote Sensing Group publications as pdf files

<http://omtab.obs-vlfi.fi/>